

### **REMARKS/ARGUMENTS**

Claims 1-15, 17, 18, 22-29, and 31-38 are pending in the application. Claims 11, 12, 17 and 18 are allowed. Claims 16, 19, 21 and 30 are cancelled. New claims 31-39 have been added. Support for the new claims is found in the original claims and on page 4, first full paragraph; page 7, in the Summary of the Invention; and page 21, second paragraph; bottom of page 22-34 and the Abstract of the present application. Reexamination and reconsideration of the above-identified application are respectfully requested. The amendments made in this response are made at this time in response to rejections made by the Examiner in this final action. Accordingly, Applicant has not had the opportunity to respond to those rejections. Applicant believed that the claims as originally presented were allowable without amendment. The amendments further reduce issues for a potential appeal. It is respectfully requested that the amendment be considered and entered.

### **Related appeals decided by the Board support the patentability of the pending claims**

Applicant notes that the Board has addressed the issues related to the patentability of hybrids and inbreds in two separately appealed cases in the past year. *Ex parte Francis L. Garing* and *Ex parte Thomas B. Carlson* both addressed rejections by the Examiner to claims drawn to hybrid plants derived from a novel inbred. While those cases are designated as non-binding precedent of the Board, in both instances, the Board reversed the rejections of the claims drawn to hybrid plants for indefiniteness, written description, and enablement. Essentially the same rejections and arguments from the Examiner that are presented herein were considered in those cases. In both instances, the Board found that the Examiner's rejections were not supported and the rejections were reversed. A copy of each of the decisions is included with this response for the convenience of the Examiner.

**The rejection of the claims under 35 USC §112, second paragraph, should be withdrawn**

Claims 19-24 were rejected under 35 USC §112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter that Applicant regards as the invention. For the reasons presented below, this rejection should be withdrawn.

The Examiner states that claims 19 and 23-24 are indefinite in their recitation of "plant of claim 11 ... further defined as comprising." The Examiner argues that this claim language is confusing, as the claimed plant is simultaneously being characterized as being the plant of claim 11, which has a particular and finite genotype, as well as being a plant with an additional transgene or single locus conversion.

Claims 19 and 21 have been canceled. Applicant maintains these claims are definite. However, they have been cancelled to reduce issues for a potential appeal. Claim 19 has been rewritten as new claim 39. Claim 20 has been amended to depend from new claim 39 and to recite consistent language of same. Claim 22 has been amended to depend from new claim 32. Dependent claims 22-24 have been amended to comply with the language of new claim 32.

Claims 22-24 as currently amended are drawn to a corn plant produced by crossing a recurrent inbred line PH726 with a donor line containing a desired trait to produce progeny seed, backcrossing a plant from the progeny seed with the recurrent inbred line and selecting for the desired trait. The Examiner has already indicated that PH726 is novel. See, US Patent 6,730,837 issued May 4, 2004. Representative seed of PH726 have been deposited under ATCC Accession No. PTA-4437. As set forth in the specification on page 7 and further described on page 21, the invention relates to maize lines derived from inbred maize line PH726.

Claims 22-24 and 32 depend from new claim 31, which is directed to a method of using a plant of the corn variety PH726 to produce a corn variety having a

desired trait. The Examiner's reasoning that claims drawn to PH726 plus an additional transgene are indefinite is not well founded and should not be applied to the amended claims. Here the method of producing a corn plant requires a plant of the corn variety PH726 be used as the starting material. Since the Examiner has indicated that a claim to a plant of the corn variety PH726 is allowable, there can be no doubt that Applicant was in possession of a plant of the corn variety PH726, and thus to a method of using that plant to cross with any other corn plant to produce a corn variety derived from PH726 having a desired trait. As the Board reasoned in *Carlson and Garing*, the claims reasonably apprise those of skill in the art of their scope and the rejection should be withdrawn.

Claim 22 was held to be indefinite in the recitation of "yield enhancement" and "improved nutritional quality." These claims have been amended such that the nutritional quality and yield enhancement is improved as compared to PH726. Thus, the metes and bounds of the claim are fully understood by one of skill in the art and the use of the terms is not indefinite. As set forth in *Shatterproof Glass Corp.*, "[i]f the claims, read in light of the specifications, reasonably apprise those skilled in the art both of the utilization and scope of the invention, and if the language is as precise as the subject matter permits, the courts can demand no more." While Applicant believes that the claims were definite as originally drafted, in view of the amendments, the claims are definite as a person of ordinary skill in the art can understand the enhancement of yield or improved nutritional quality. Accordingly, since claim 22 has been amended to provide a standard of comparison for the terms in question, the rejection should be withdrawn.

For all these reasons, the rejection of the claims under 35 USC §112, second paragraph should be withdrawn.

**The rejection of claims 22 and 30 for new matter is moot as the claims have been amended**

Claims 22 and 30 are rejected under 35 USC §112, first paragraph, as failing to comply with the written description requirement. The Examiner states that the claims contain subject matter that was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention. The Examiner notes that the claims are drawn to nematode resistant corn plants and to methods for making doubled haploid maize plants.

Claim 22 has been amended to remove reference to nematode resistance. Claim 30 has been canceled. Accordingly, the rejection should be withdrawn.

**The rejection of the claims under 35 USC §112, first paragraph, for lack of written description should be withdrawn**

Claims 1-10, 13-16 and 19-30 were rejected under 35 USC §112, first paragraph, as failing to comply with the written description requirement. This rejection is respectfully traversed.

The arguments submitted in the previous response are incorporated herein by reference. In general, the rejection of the claims for lack of written description fails because the claimed subject matter has been fully described. Each of the claimed hybrid plants and seeds having inbred corn plant PH726 as one parent have as half of their genome the same genetic contribution from PH726. This structural characteristic is readily detectable and thus defines the claimed plants. These plants can be produced using any second plant, thus written description with regard to the second parent is satisfied based on the countless corn varieties known to those of skill in the art, including the more than 300 corn varieties for which utility patents have previously been issued. Furthermore, methods of crossing the claimed corn variety were well known in the art.

Because a deposit has been made of representative seed of maize inbred line PH726, Applicant has fully met the written description requirement. This deposit provides sufficient structure and properties of the seed to meet the written description requirement. The entire genetic contribution of corn plant PH726 is described in the specification by way of the deposit of seed of corn plant PH726 with the ATCC. The recent decisions of the Board make it clear that a deposit of seed of an inbred meets the written description requirement for F1 hybrid plants and seed produced by crossing the inbred with another corn variety. However, Applicant will address the arguments set forth by the Examiner in the previous official actions.

*The invention is adequately described in the specification*

The Examiner states that the claims contain subject matter that was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention. The Examiner concludes that claims that encompass F1 hybrid seed and F1 hybrids are not described.

As noted, a deposit has been made of representative seed of maize inbred line PH726. The entire genetic contribution of corn plant PH726 is described in the specification by way of the deposit of seed of corn plant PH726 with the ATCC. Any F1 hybrid seed or plant will contain half of the genetic complement of PH726. Thus, the deposit provides sufficient structure and properties of the seed to meet the written description requirement. The deposit represents a description of concrete and identifiable structural characteristics defining the claimed F1 hybrid seed and plants and distinguishing them from other seed and plants in full compliance with the written description requirement.

*The Examiner's requirement to describe every possible second parent for producing any F1 hybrid is incorrect*

The Examiner further states in the previous Office Action that the claims are drawn to any F1 hybrid produced by crossing a single inbred parent PH726 with any of a multitude of unspecified second parents, wherein half of the genetic composition of the hybrid is contributed by the first inbred parent.

The second plant that is used to make the claimed hybrid plants is irrelevant, as a hybrid will be produced any time corn plant PH726 is crossed with a second plant. That is, any second plant capable of reproduction may be used to make the hybrid plant. Applicant cannot therefore be said to lack written description for the second genetic complement. This is particularly so given that hundreds or even thousands of different inbred corn lines were well known to those of skill in the art prior to the filing of the instant application, each of which could be crossed to make a hybrid plant within the scope of the claims. This is evidenced by a review of the USPTO patent data website, which reveals utility patents issued on hundreds of different corn varieties. Any of these corn plants, or the many hundreds or thousands of other maize plants that were known at the time the application was filed, could be used to produce an F1 hybrid plant having corn variety PH726 as one parent, and each of these would share the genetic complement of PH726.

Written description is reviewed from the perspective of one of skill in the art at the time the application is filed. *Wang Labs., Inc. v. Toshiba Corp.*, 993 F.2d 858, 863 (Fed. Cir. 1993). The specification need not disclose what is well known to those skilled in the art and preferably omits what is well known and already available to the public. *In re Buchner*, 929 F.2d 660, 661 (Fed. Cir. 1991). As *any* different second plant may be used to produce the claimed hybrid plants and such plants were well known to those of skill in the art, Applicant cannot be said to have not been in possession of the second parent plant. The claimed hybrid corn plants have therefore been described in compliance with 35 USC §112, first paragraph.

In the Examiner's concluding remarks, it is argued that no correlation has been demonstrated between structure (gene sequence of one parent inbred) and function (the morphological complement of each divergent F1 hybrid descended from a different second parent). Applicant has fully described the claimed hybrid by the deposit of the inbred parent. As noted above, this inbred can be crossed with any number of other corn varieties to produce the F1 hybrid. Applicant is not required to describe the F1 hybrid beyond the complete description of the inbred parent which is satisfied by a deposit of the seed. Further, the Examiner's requirement is well beyond what is required to satisfy the written description. In many of the claims, there is no limitation for a particular trait in the F1 hybrid. Thus, the Examiner's statement is irrelevant regarding these claims. Regarding the claims requiring a desired trait, as noted herein, any of the claimed F1 hybrids of the invention are adequately described as they will contain one half of the genetic complement of the deposited inbred. That is all that the law requires.

*The Lilly decision supports Applicant's position that a deposit fulfills the written description requirement, even for hybrids*

The Examiner relies on *Univ. of California v. Eli Lilly and Co.* 43, USPQ 1398, 1406 (Fed. Cir. 1997) as support for rejection of claims to F1 hybrids.

In *University of California v. Eli Lilly and Co.* the Federal Circuit held that a "description of a genus of cDNAs may be achieved by means of a recitation of a representative number of cDNAs, defined by nucleotide sequence, falling within the scope of the genus **or of a recitation of structural features common to the members of the genus, which features constitute a substantial portion of the genus**" [emphasis added], *University of California v. Eli Lilly and Co.*, 43 USPQ2d 1398, 1406 (Fed. Cir. 1997).

The Examiner maintains that there is no correlation between the conserved sequence and function, as required by the *Lilly* decision. However, the deposit of

representative seeds of the maize inbred line PH726 provides the necessary structural features to satisfy the written description requirement. Plants grown from the seeds can be crossed with any maize plant to produce an F1 hybrid seed. All of the members of the claimed genus of hybrids having PH726 as one parent share the structural feature of having the genetic complement of PH726. Thus, members of the genus can readily be identified. The Board has further recognized that the deposit of the inbred seed provides sufficient description not only for the inbred but for the F1 hybrid produced by crossing another corn variety with the inbred. See, *Ex parte Thomas B. Carlson* and *Ex parte Francis L. Garing*.

*The MPEP Section relied on by the Examiner is not applicable*

The Examiner also references MPEP Section 2163, page 156 of Chapter 2100 of the August 2001 version, column 2, bottom paragraph, where it is taught that:

The claimed invention as a whole may not be adequately described where an invention is described solely in terms of a method of its making coupled with its function and there is no described or art-recognized correlation or relationship between the structure of the invention and its function. A biomolecule sequence described only by a functional characteristic, without any known or disclosed correlation between that function and the structure of the sequence, normally is not a sufficient identifying characteristic for written description purposes, even when accompanied by a method of obtaining the claimed sequence.

The MPEP references a situation where no structure is provided and is therefore, not applicable to the present situation. A more analogous situation is in the case of a disclosed novel DNA for a single transgene introduced into a plant or seed. It is well established that the plant and seed containing the transgene are patentable, even though the plant and seed are not characterized other than by the presence of the transgene. Likewise, an F1 hybrid maize seed is well characterized by requiring a single specific parent (such as PH726) crossed with any maize plant.



The genetic information from PH726 is contained in the F1 seed. Here, the necessary structure is provided by the present specification and ATCC deposit.

*The Written Description Guidelines do not support the Examiner's position*

Regarding the desired traits to be incorporated into the inbred, the Examiner states that given the claim breadth and lack of guidance as discussed above, the specification fails to provide an adequate written description of the genus of sequences as broadly claimed, or plants containing them. The Examiner then reasons that accordingly one skilled in the art would not have recognized Applicant to have been in possession of the claimed invention at the time of filing. The Examiner then references the Written Description Requirement Guidelines published in the Federal Register/Vol. 66, No. 4/Friday January 5, 2001/Notices: pp. 1099-1111.

Following is an excerpt from the Written Description Guidelines:

A representative number of species means that the species which are adequately described are representative of the entire genus. Thus, when there is substantial variation within the genus, one must describe a sufficient variety of species to reflect the variation within the genus. On the other hand, **there may be situations where one species adequately supports a genus.** What constitutes a "representative number" is an inverse function of the skill and knowledge in the art. Satisfactory disclosure of a "representative number" **depends on whether one of skill in the art would recognize that the applicant was in possession of the necessary common attributes or features of the elements possessed by the members of the genus in view of the species disclosed.** For inventions in an unpredictable art, adequate written description of a genus which embraces widely variant species cannot be achieved by disclosing only one species within the genus. **Description of a representative number of species does not require the description to be of such specificity that it would provide individual support for each species that the genus embraces. [emphasis added]**

The USPTO Written Description Guidelines provide that there may be situations where one species adequately supports a genus. The present application

has provided three examples of several tester hybrids. The Guidelines further state that satisfactory disclosure of a “representative number” depends on whether one of skill in the art would recognize that the applicant was in possession of the necessary common attributes or features of the elements possessed by the members of the genus in view of the species disclosed. The present claims require the “common attribute” of PH726 as a parent source. Each of the F1 hybrids will have at least one half of the genetic complement of PH726, which is fully described by the ATCC deposit. One of skill in the art would certainly understand that the Applicant had possession of the claimed invention.

*Amgen supports Applicant's position*

The Examiner cites *Amgen Inc. v. Chugai Pharmaceutical Co. Ltd*, 18 USPQ 1016, 1021 (Fed. Cir. 1991) where it is taught that a gene is not reduced to practice until the inventor can define it by “its physical or chemical properties” (e.g. DNA sequence).

The complete text in the cite from *Amgen*, is provided as follows.

“Conception does not occur unless one has a mental picture of the structure of the chemical, **or is able to define it by its method of preparation, its physical or chemical properties, or whatever characteristics sufficiently distinguish it**” [emphasis added]. *Amgen v. Chugai Pharmaceutical Co. Ltd.*, 18 USPQ 1016, 1021 (Fed. Cir. 1991). Again, Applicant has provided sufficient definition of the physical and chemical properties by means of the deposit of representative seed of maize inbred line PH726.

*The new claims are fully described*

Claims 32, 22 and 23 are method claims. Representative seed of maize inbred line PH726 has been deposited with the ATCC. Any maize plant can be crossed with PH726 to produce the F1 hybrid maize seed. The description of the

invention in the specification makes it clear to one skilled in the art that the inventor had "possession of the claimed invention at the time the application was filed."

### Conclusions

To summarize, the claims are drawn to an F1 hybrid seed or plant resulting from a cross between a plant of corn variety PH726 and a non-PH726 corn variety. The claims do not require the hybrid to express any particular morphological or physiological characteristic. Nor do the claims require that a particular non-PH726 corn variety be used. All that is required by the claims is that the hybrid has one parent that is a plant of corn variety PH726. As the Examiner has indicated that the seed and the plant of the corn inbred PH726 are allowable (US Patent No. 6,730,837), there can be no doubt that the specification provides an adequate written description of this corn variety. In addition, the specification describes exemplary hybrids wherein one parent was a plant of the corn variety PH726, see e.g., specification, pages 36-39. Accordingly, it is unclear what additional description is necessary.

As set forth in *Reiffin v. Microsoft Corp.*, 214 F.3d 1342, 1345, 54 USPQ2d 1915, 1917 (Fed. Cir. 2000), the purpose of the written description requirement is to "ensure that the scope of the right to exclude, as set forth in the claims does not overreach the scope of the inventor's contribution to the field of the art as described in the patent specification." Here the hybrid seed or plant has one parent that is a plant of the corn variety PH726. To that end, to satisfy the written description requirement, the inventor "must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention" [emphasis added]. *Vas-Cath Inc. v. Mahurkar*, 935 F.2d 1555, 1563-64, 19 USPQ2d 1111, 1117 (Fed. Cir. 1991). As discussed above, Applicant has met that burden. For the foregoing reasons it is believed that an adequate written description of the subject matter is provided and the rejection should be withdrawn.

**The rejection of the claims under 35 USC §112, first paragraph, as lacking enablement should be withdrawn**

Claims 1-10, 13-16 and 19-30 are rejected under 35 USC §112, first paragraph, as failing to comply with the enablement requirement. This rejection is respectfully traversed.

The Examiner states that the claims contain subject matter that was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. The Examiner refers to the previous Office Action for claims 1-10, regarding F1 hybrids.

In the previous Office Action the Examiner states that the claims are broadly drawn to any F1 hybrid produced by crossing a single inbred parent PH726 with any of a multitude of unspecified second parents, wherein half of the genetic composition of the hybrid is contributed by the first inbred parent. It is not clear why the Examiner finds the claims as lacking enablement. As the Examiner indicates, the claims are broadly drawn to any F1 hybrid produced by crossing a single inbred parent PH726 with a second parent. As noted above, many maize varieties are known and can be used in the practice of the invention. Furthermore, corn breeding is well known to those of skill in the art.

The methods and compositions of the invention are produced by taking corn variety PH726 and any second corn plant, and applying well known and practiced breeding methods. Accordingly, the claims are fully enabled by the specification, which teaches that PH726 can be crossed with any other corn plant of interest and further provides examples of such resultant hybrids.

Further, the Examiner states that the specification only provides guidance for the traits exhibited by the single inbred parent PH726, and for traits exhibited by crossing the single inbred parent PH726 with three other inbred parents. No

guidance is provided regarding the genetic composition of PH726 at any locus or on any chromosome. No guidance is provided regarding the genetic composition of any of a multitude of non-exemplified inbreds or hybrids at any single locus or on any chromosome.

Applicant again reminds the Examiner that PH726 has been deposited with the ATCC. Any resultant F1 hybrid seed or plant will contain one set of chromosomes of PH726. Therefore, guidance is provided regarding the genetic composition of the claimed F1 hybrid seed and plants. Furthermore, Applicant does not have to provide information regarding the genetic composition of PH726 beyond that provided. Nothing more than objective enablement is required, and therefore it is irrelevant whether this teaching is provided through broad terminology or illustrative examples. *Marzocchi*, 439 F.2d at 223, 169 USPQ at 369. In the absence of an evidentiary basis to support the rejection, the Examiner has not sustained his initial burden of establishing a *prima facie* case of non-enablement.

Regarding the Examiner's arguments of the unpredictability of breeding, the methods of breeding are well characterized and commonly practiced. It is well within the skill of the art to cross two corn plants and select for those having desired traits. Breeders have been successfully accomplishing advances for years in spite of the unpredictable effects and genetic interactions mentioned by the Examiner.

As to claims 1-10, 13-15, and 25-29, they do not require a particular desirable morphological complement of traits. Thus, the Examiner is reading claim limitations into these claims that the claims do not require. These claims only require seed comprising at least one set of the chromosomes of maize inbred line PH726 or F1 hybrid maize seed produced by crossing a plant of maize inbred line designated PH726. These claims are fully enabled.

As to those claims where a desired trait is crossed into the inbred PH726, as noted above, the methods for introducing a desired trait into a plant have been practiced for years with considerable success. Corn breeders continue to make

advances in the development of corn with desirable traits, including yield. As indicated in the previous response, marker assisted selection and computer programs, among other things, are used to introduce more predictability to breeding and have contributed to the rate of genetic gain. It is not necessary to describe every possible F1 hybrid or conversion product.

It is respectfully submitted that when representative seed of the inbred parent of the F1 seed has been deposited with the ATCC and any maize plant can be used to produce the F1 hybrid, therefore providing sufficient enablement for the claims.

Case law cited above is equally applicable with regard to enablement. The specification clearly teaches one skilled in the art how to make and use the claimed invention. Accordingly, the rejection of the claims under 35 USC §112, first paragraph for lack of enablement should be withdrawn.

**The claim rejection under 35 USC §102(b) should be withdrawn**

Claim 16 is rejected under 35 USC §102(b) as being anticipated by each of Kevern (US 5,850,009) and Carlone (US 5,763,755).

Claim 16 is cancelled, rendering this rejection moot.

Claims 1-10, 11-15 and 17-30 are deemed free of the prior art by the Examiner.

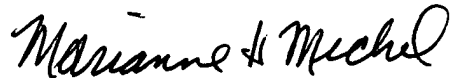
**CONCLUSION**

The primary purpose of an inbred is to produce a hybrid. Absent the ability to claim the hybrid produced, Applicant does not receive the full benefit of the invention. The Applicant is denied the exclusive right to the ultimate product of the invention, the hybrid seed. The inventors have presented a new, non-obvious product that contributes to the art of plant breeding. The claimed inbred provides genetics that can transfer attributes to any maize plant. It does not serve the purpose of the patent system to deny allowance to Applicant of the current hybrid claims.

Serial No. 10/781,403  
Amendment Dated January 26, 2006  
Reply to Office Action of October 17, 2005

In view of the above comments and amendments, withdrawal of the rejections and allowance of the remaining claims is respectfully requested. It is believed that the claims are in condition for allowance. Early notice to this effect is solicited. If in the opinion of the Examiner a telephone conference would expedite the prosecution of the above-referenced application, the Examiner is invited to call the undersigned.

Respectfully submitted,

A handwritten signature in black ink, reading "Marianne H. Michel". The signature is written in a cursive, flowing style.

Marianne H. Michel  
Attorney for Applicant(s)  
Registration No. 35,286

PIONEER HI-BRED INTERNATIONAL, INC.  
Corporate Intellectual Property  
7250 N.W. 62<sup>nd</sup> Avenue  
P.O. Box 0552  
Johnston, Iowa 50131-0552  
Phone: (515) 334-4467  
Facsimile: (515) 334-6883



The opinion in support of the decision being entered today was not written for publication and is not binding precedent of the Board.

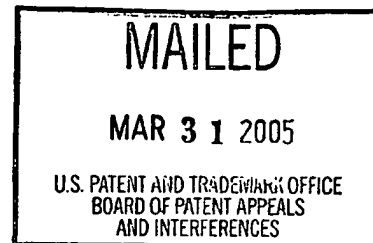
## UNITED STATES PATENT AND TRADEMARK OFFICE

### BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Ex parte Thomas B. Carlson

Appeal No. 2004-2317<sup>1</sup>  
Application No. 09/771,938

Heard: February 10, 2005<sup>2</sup>



Before SCHEINER, ADAMS and GREEN, Administrative Patent Judges.

ADAMS, Administrative Patent Judge.

#### DECISION ON APPEAL

This is a decision on the appeal under 35 U.S.C. § 134 from the examiner's final rejection of claims 3, 6, 11, 14-20, 24, 25, and 27-31. The examiner has indicated that claims 1, 2, 5, 7-10, 12, 13 and 21-23 are allowable. Answer, page 2. Claims 4 and 26 are cancelled. Brief, page 2.

<sup>1</sup> This appeal is substantially similar to Appeal No. 2004-1503, Application No. 09/606,808; Appeal No. 2004-1506, Application No. 09/771,938; Appeal No. 2004-1968, Application No. 10/00,0311; Appeal No. 2004-2343, Application No. 09/772,520; and Appeal No. 2005-0396, Application No. 10/077,589, which all share the same assignee, Monsanto Company, the parent of wholly-owned subsidiary DeKalb Genetics Corporation. Accordingly we have considered these appeals together.

<sup>2</sup> We note that examiner Ashwin Meta presented arguments at the oral hearing.



Claims 3, 6, 15, 16, 17, 27, 28, 30 and 31 are illustrative of the subject matter on appeal and are reproduced below. In addition, for convenience, we have reproduced allowable claims 2 and 5 below:

2. A population of seed of the corn variety I015036, wherein a sample of the seed of the corn variety I015036 was deposited under ATCC Accession No. PTA-3225.
3. The population of seed of claim 2, further defined as an essentially homogeneous population of seed.
5. A corn plant produced by growing a seed of the corn variety I015036, wherein a sample of the seed of the corn variety I015036 was deposited under ATCC Accession No. PTA-3225.
6. The corn plant of claim 5, having:
  - (a) an SSR profile in accordance with the profile shown in Table 6; or
  - (b) an isozyme typing profile in accordance with the profile shown in Table 7.
15. A corn plant capable of expressing all the physiological and morphological characteristics of the corn variety I015036, wherein a sample of the seed of the corn variety I015036 was deposited under ATCC Accession No. PTA-3225.
16. The corn plant of claim 15, further comprising a nuclear or cytoplasmic gene conferring male sterility.
17. A tissue culture of regenerable cells of a plant of corn variety I015036, wherein the tissue is capable of regenerating plants capable of expressing all the physiological and morphological characteristics of the corn variety I015036, wherein a sample of the seed of the corn variety I015036 was deposited under ATCC Accession No. PTA-3225.
27. The corn plant of claim 5, further defined as having a genome comprising a single locus conversion.
28. The corn plant of claim 27, wherein the single locus was stably inserted into a corn genome by transformation.
30. The corn plant of claim 27, wherein the locus confers a trait selected from the group consisting of herbicide tolerance; insect resistance; resistance to bacterial, fungal, nematode or viral disease; yield enhancement; waxy

starch; improved nutritional quality; enhanced yield stability; male sterility and restoration of male fertility.

31. A method of producing an inbred corn plant derived from the corn variety I015036, the method comprising the steps of:

- (a) preparing a progeny plant derived from the corn variety I015036 by crossing a plant of the corn variety I015036 with a second corn plant, wherein a sample of the seed of the corn variety I015036 was deposited under ATCC Accession No. PTA-3225;
- (b) crossing the progeny plant with itself or a second plant to produce a seed of a progeny plant of a subsequent generation;
- (c) growing a progeny plant of a subsequent generation from said seed and crossing the progeny plant of a subsequent generation with itself or a second plant;
- (d) repeating steps (b) and (c) for an addition[al] 3-10 generations to produce an inbred corn plant derived from the corn variety I015036.

The references relied upon by the examiner are:

Hunsperger et al. (Hunsperger)                      5,523,520                      Jun. 4, 1996

Eshed et al. (Eshed), "Less-Than-Additive Epistatic Interactions of Quantitative Trait Loci in Tomato," Genetics, Vol. 143, pp. 1807-17 (1996)

Kraft et al. (Kraft), "Linkage Disequilibrium and Fingerprinting in Sugar Beet," Theoretical and Applied Genetics, Vol. 101, pp. 323-36 (2000)

#### GROUND OF REJECTION

Claim 3 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "an essentially homogeneous population of seed."

Claim 14 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "[a]n essentially homogeneous population of corn plants produced by growing the seed of the corn variety I015036."

Claims 6 and 11 stand rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "in accordance with."

Claims 15, and 17-20<sup>3</sup> stand rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "capable of expressing."

Claims 16 and 27-30<sup>4</sup> stand rejected under 35 U.S.C. § 112, second paragraph as failing to limit the scope of the claims from which they depend.

Claim 28 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of "the article 'a' in the recitation 'wherein the single locus was stably inserted into a corn genome.'"

Claim 30 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrases "yield enhancement," "improved nutritional quality," and "enhanced yield stability."

Claims 6, 11, 24, 25 and 27-31 stand rejected under the written description provision of 35 U.S.C. § 112, first paragraph.

Claims 27-30 stand rejected under the enablement provision of 35 U.S.C. § 112, first paragraph.

We reverse.

---

<sup>3</sup> According to the examiner (Answer, page 13), since claim 18 depends from claims 17 it is included in this rejection. We also note, while the examiner lists (Answer, page 4) claim 19 as rejected under 35 U.S.C. § 112, second paragraph, the examiner fails to explain the basis of this rejection. Accordingly, we assume since claim 19 ultimately depends from claim 17, claim 19, like claim 18, was intended to be included in this rejection.

<sup>4</sup> According to the examiner (Answer, page 4), "[c]laims ... 27-30 ... stand rejected under 35 U.S.C. [§] 112, second paragraph..." The examiner, however, provides no explanation as to why claim 29 is rejected. We can only assume that since claim 29, as well as claims 28 and 30, each depend from claim 27, they are rejected for the same reason as claim 27. Accordingly, we have included claims 28-30 with this ground of rejection.

### BACKGROUND

The present "invention relates to inbred corn seed and plants of the variety designated I015036, and derivatives and tissue cultures thereof." Specification, page 1. According to appellant (specification, page 27), "[a] description of the physiological and morphological characteristics of corn plant I015036 is presented in Table 3" of the specification, pages 27-29. On this record the examiner has indicated that claims drawn to plants, plant parts, and seed of the corn variety designated I015036 are allowable. See e.g., claims 1, 2, 5, 7-10, 12 and 13, and Answer, page 2, wherein the examiner states "[c]laims 1, 2, 5, 7-10, 12 [and] 13 ... are allowed."

A second aspect of the present invention comprises hybrid plants and processes "for producing [first generation ( $F_1$ ) hybrid<sup>5</sup>] corn seeds or plants, which ... generally comprise crossing a first parent corn plant with a second parent corn plant, wherein at least one of the first or second parent corn plants is a plant of the variety designated I015036." Specification, pages 7-9. On this record the examiner has indicated that claims drawn to a process of producing corn seed wherein the process comprises crossing a first parent corn plant with a second parent corn plant are allowable. See e.g., claims 21-23 and Answer, page 2, wherein the examiner states claims "21-23 are allowed."

---

<sup>5</sup> According to the specification (page 21), a  $F_1$  hybrid is "[t]he first generation progeny of the cross of two plants." During oral hearing, appellant confirmed that all claims drawn to hybrid plants or hybrid seeds (see e.g., claims 24 and 25) refer to  $F_1$  hybrids.

A third aspect of the present invention comprises single locus converted plants of the corn variety I015036. Specification, page 6. As appellant explains (specification, page 23, emphasis added), single locus converted (conversion) plants are those plants

which are developed by a plant breeding technique called backcrossing wherein essentially all of the desired morphological and physiological characteristics of an inbred are recovered in addition to the characteristics conferred by the single locus transferred into the inbred via the backcrossing technique. A single locus may comprise one gene, or in the case of transgenic plants, one or more transgenes integrated into the host genome at a single site (locus).

As appellant explains (specification, page 31):

Many single locus traits have been identified that are not regularly selected for in the development of a new inbred but that can be improved by backcrossing techniques. Single locus traits may or may not be transgenic; examples of these traits include, but are not limited to, male sterility, waxy starch, herbicide resistance, resistance for bacterial, fungal, or viral disease, insect resistance, male fertility, enhanced nutritional quality, industrial usage, yield stability, and yield enhancement. These genes are generally inherited through the nucleus, but may be inherited through the cytoplasm. Some known exceptions to this are genes for male sterility, some of which are inherited cytoplasmically, but still act as single locus traits.

A final aspect of the present invention is directed to a process of producing an inbred corn plant derived from a plant of the corn variety I015036. See e.g., claim 31. According to appellant's specification (bridging paragraph, pages 10-11),

the present invention provides a method of producing an inbred corn plant derived from the corn variety I015036, the method comprising the steps of: (a) preparing a progeny plant derived from corn variety I015036, wherein said preparing comprises crossing a plant of the corn variety I015036 with a second corn plant, and

wherein a sample of the seed of corn variety I015036 has been deposited under ATCC Accession No. ... [PTA-3225]; (b) crossing the progeny plant with itself or a second plant to produce a seed of a progeny plant of a subsequent generation; (c) growing a progeny plant of a subsequent generation from said seed of a progeny plant of a subsequent generation and crossing the progeny plant of a subsequent generation with itself or a second plant; and (d) repeating steps (c) and (d) for an addition 3-10 generations to produce an inbred corn plant derived from the corn variety I015036. In the method, it may be desirable to select particular plants resulting from step (c) for continued crossing according to steps (b) and (c). By selecting plants having one or more desirable traits, an inbred corn plant derived from the corn variety I015036 is obtained which possesses some of the desirable traits of corn variety I015036 as well potentially other selected traits.

According to the examiner (Answer, page 36), “[t]he patentability of the method of claim 31 does not lie in the method steps, which require the simple acts of crossing corn plants, allowing progeny seed to be produced, and growing progeny plants from the seed....” Therefore, as we understand this aspect of the claimed invention (e.g., claim 31), the intent is not to claim a specific inbred corn plant resulting from the claimed process. See claim 31. Instead, as we understand it, claim 31 is drawn to a process wherein an inbred corn plant is derived from the corn variety I015036.

As appellant explains (specification, page 3),

The development of uniform corn plant hybrids requires the development of homozygous inbred plants, the crossing of these inbred plants, and the evaluation of the crosses. Pedigree breeding and recurrent selection are examples of breeding methods used to develop inbred plants from breeding populations. Those breeding methods combine the genetic backgrounds from two or more inbred plants or various other broad-based sources into breeding pools from which new inbred plants are developed by selfing and selection of desired phenotypes. The new inbreds are crossed with other inbred plants and the hybrids from these crosses are evaluated to determine which of those have commercial potential.

We emphasize, that while “new inbreds” having commercial potential may result from the method set forth in claim 31, the claim does not encompass any specific plant that is produced as a result of the method. Rather the claim encompasses only a method of producing an inbred corn plant that is “derived” from the corn variety I015036. The examiner has indicated that a claim drawn to a corn plant of the corn variety I015036 is allowable. See e.g., claim 5, and Answer, page 2, wherein the examiner states that claim 5 is allowed.

Against this backdrop, we now consider the rejections of record.

### DISCUSSION

#### Definiteness:

Claims 3, 6, 11, 14-20 and 27-30 stand rejected under 35 U.S.C. § 112, second paragraph. For the following reasons we reverse.

#### Claim 3

Claim 3 depends from independent claim 2, and stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase “an essentially homogeneous population of seed....” Answer, bridging paragraph, pages 4-5. According to the examiner (Answer, page 4), claim 2 is drawn to “[a] population of seed of the corn variety I015036, wherein a sample of the seed of the corn variety I015036 was deposited under ATCC Accession No. PTA-3225.” Thus, the examiner finds (Answer, page 5), the population of seed set forth in claim 2 “is a homogeneous population of seed of corn variety I015036.”

Accordingly, the examiner finds (id.), "[t]he recitation, 'essentially homogeneous,' in claim 3 ... appear[s] to be superfluous."

However, as disclosed in appellant's specification (page 5),

[e]ssentially homogeneous populations of inbred seed are those that consist essentially of the particular inbred seed, and are generally free from substantial numbers of other seed, so that the inbred seed forms between about 90% and about 100% of the total seed, and preferably, between about 95% and about 100% of the total seed.

Accordingly, we disagree with the examiner's assertion (Answer, page 6) that claim 3 is unclear simply because it may contain seed other than the seed of the corn variety I015036. We remind the examiner that claim language must be analyzed "not in a vacuum, but always in light of the teachings of the prior art and of the particular application disclosure as it would be interpreted by one possessing the ordinary skill in the pertinent art." In re Moore, 439 F.2d 1232, 1235, 169 USPQ 236, 238 (CCPA 1971). Here, notwithstanding appellant's comments<sup>6</sup>, it is our opinion that a person of ordinary skill in the art would recognize that an essentially homogeneous population of seed of the corn variety I015036 is a population of seed that is generally free from substantial numbers of other seed, e.g., wherein corn variety I015036 seed forms between about 90% and about 100% of the total seed in the population.<sup>7</sup>

---

<sup>6</sup> According to appellant (Brief, page 7), an essentially homogeneous population of seed, is a population of seed that could be of non-uniform size and shape.

<sup>7</sup> Cf. the examiner's statement (Answer, page 6), "amending claim 3 to read '[a]n essentially homogeneous population of corn seeds consisting essentially of seed of claim 1', would obviate this rejection."



Accordingly, we reverse the rejection of claim 3 under 35 U.S.C. § 112, second paragraph.

Claim 14

Claim 14 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase “[a]n essentially homogeneous population of corn plants produced by growing the seed of the corn variety I015036.” Answer, page 6. According to the examiner (Answer, bridging paragraph, pages 6-7), “[t]he I015036 seed can only produce I015036 plants. ... [Therefore,] [t]he population can ... only consist of I015036 plants.” Accordingly, the examiner finds it unclear “why the population is referred to as ‘essentially homogeneous,’ since such populations can comprise more than one variety of plant.” Answer, page 7.

As appellant discloses (specification, page 6), “[t]he population of inbred corn seed of the invention can further be particularly defined as being essentially free from hybrid seed. The inbred seed population may be separately grown to provide an essentially homogeneous population of inbred corn plants designated I015036.” As we understand the claim, growing the seed of claim 3, for example, would produce an essentially homogeneous population of corn plants of the corn variety I015036.<sup>8</sup>

---

<sup>8</sup> Cf. The examiner’s statement (Answer, page 8), amending claim 14 “to read, ‘[a]n essentially homogeneous population of corn plants produced by growing a population of corn seed consisting essentially of the seed of corn plant I015036...’ would obviate the rejection.”

In addition, we direct the examiner's attention to Appeal No. 2005-0396, wherein a claim similar to claim 14 was presented for our review. In Appeal No. 2005-0396, the examiner of record indicated that claim 14, directed to "[a]n essentially homogeneous population of corn plants produced by growing the seed of the corn variety I180580...." was allowable. Accordingly, we find that the examiner has treated claim 14 in a manner that is inconsistent with the prosecution of claim 14 in 2005-0396. As we understand it, the only difference between claim 14 as it appears in Appeal No. 2005-0396 and the instant appeal is the variety of corn seed from which the plant is produced.

Accordingly we reverse the rejection of claim 14 under 35 U.S.C. § 112, second paragraph.

#### Claims 6 and 11

Claims 6 and 11 stand rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "in accordance with." According to the examiner (Answer, page 9), it is unclear if a plant "that generally follows the trend of the profile of Table 6, but which differs at one or a few loci, [would] be considered in 'conformity' or 'in accordance' with the profile of Table 6."

On this record, we understand the phrase "in accordance with" as it is used in claims 6 and 11 to mean "the same"<sup>9</sup>. Stated differently, we understand the claims to read:

6. The corn plant of claim 5, having:
  - (a) the same SSR profile as shown in Table 6; or

---

<sup>9</sup> During the February 10, 2005 oral hearing appellant's representative confirmed that the phrase "in accordance with" was intended to mean "the same."

(b) the same isozyme typing profile as shown in Table 7.

11. The plant part of claim 10, wherein said cell is further defined as having:
- (a) The same SSR profile as shown in Table 6; or
  - (b) The same isozyme typing profile as shown in Table 7.

Accordingly we reverse the rejection of claims 6 and 11 under 35 U.S.C.

§ 112, second paragraph.

Claims 15 and 17-20

Claims 15, and 17-20 stand rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "capable of expressing," or "capable of regenerating." According to the examiner (Answer, page 11), the claims do "not make clear if the plant actually expresses the traits, or when or under what conditions the traits are expressed." In this regard, the examiner finds (Answer, page 12),

while the plant has the capacity to express the characteristics, for some reason it may not. Certain characteristics of a plant are expressed only at certain times of its life cycle, and are incapable of being expressed at other times. The colors of flower parts such as silks, or fruit parts such as husks, are examples. The promoters of many genes conferring traits require a transcription factor to become active. Is a plant that has such a gene, but not the transcription factor, considered "capable of expressing" that gene, and the trait associated with that gene, and is such a plant encompassed by the claims?

To address the examiner's concerns, we find it sufficient to state that if a plant has the capacity to express the claimed characteristics it meets the requirement of the claim regarding "capable of," notwithstanding that due to a particular phase of the life cycle the plant is not currently expressing a particular characteristic. Alternatively, if a plant is incapable of expressing the claimed

characteristics at any phase of the life cycle, because it lacks, for example, the "transcription factor" required for expression – such a plant would not meet the requirement of the claim regarding "capable of."

Here, we find the examiner's extremely technical criticism to be a departure from the legally correct standard of considering the claimed invention from the perspective of one possessing ordinary skill in the art.<sup>10</sup> In our opinion, a person of ordinary skill in the art would understand what is claimed. Amgen Inc. v. Chugai Pharmaceutical Co., Ltd., 927 F.2d 1200, 1217, 18 USPQ2d 1016, 1030 (Fed. Cir. 1991). We find the same to be true for the phrase "capable of" as set forth in claims 17-20.

Accordingly we reverse the rejection of claims 15, and 17-20 under 35 U.S.C. § 112, second paragraph.

#### Claims 16 and 27-30

Claims 16 and 27-30 stand rejected under 35 U.S.C. § 112, second paragraph as failing to limit the scope of the claims from which they depend. According to the examiner (Answer, page 9), since the plant set forth in claim 16 is male sterile it cannot express all the morphological and physiological characteristics of the male fertile corn variety I015036. Similarly, the examiner finds it unclear whether the plant set forth in claim 27 has all the characteristics of the plant set forth in claim 5, from which claim 27 depends. Id. In response,

---

<sup>10</sup> Cf. Digital Equipment Corp. v. Diamond, 653 F.2d 701, 724, 210 USPQ 521, 546 (CA 1981).

appellant asserts (Brief, bridging paragraph, pages 8-9), claims 16 and 27 simply add a further limitation to the claims from which they depend. We agree.

For example, claim 16 reads on a corn plant capable of expressing all the physiological and morphological characteristics of the corn variety I015036, further comprising a nuclear or cytoplasmic gene conferring male sterility. In our opinion, the claims reasonably apprise those of skill in the art of their scope.

Amgen, As set forth in Shatterproof Glass Corp. v. Libbey-Owens Ford Co., 758 F.2d 613, 624, 225 USPQ 634, 641 (Fed. Cir. 1985), "[i]f the claims, read in the light of the specifications, reasonably apprise those skilled in the art both of the utilization and scope of the invention, and if the language is as precise as the subject matter permits, the courts can demand no more."

Accordingly we reverse the rejection of claims 16 and 27-30 under 35 U.S.C. § 112, second paragraph.

#### Claim 28

Claim 28 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of "the article 'a' in the recitation 'wherein the single locus was stably inserted into a corn genome.'" According to the examiner (Answer, page 13), "[t]he recitation does not make clear if the genome is that of I015036 or that of a different corn plant."

According to appellant's specification (page 23, emphasis removed), a "Single Locus Converted (Conversion) Plant" refers to

[p]lants which are developed by a plant breeding technique called backcrossing wherein essentially all of the desired morphological and physiological characteristics of an inbred are recovered in

addition to the characteristics conferred by the single locus transferred into the inbred via the backcrossing technique. A single locus may comprise one gene, or in the case of transgenic plants, one or more transgenes integrated into the host genome at a single site (locus).

Accordingly, we agree with appellant (Brief, page 10) "[t]he single locus referred to in claim 28 may or may not have been directly inserted into the genome of the claimed plant." As we understand the claim, and arguments of record, claim 28 presents two possibilities: (1) the single locus is directly inserted into the claimed plant and nothing further need be done; or (2) the single locus is directly inserted into a different plant, which is then used to transfer the single locus to the claimed plant through use of the plant breeding technique known as backcrossing.

In our opinion, the claim reasonably apprises those of skill in the art of its scope. Amgen. Accordingly, we reverse the rejection of claim 28 under 35 U.S.C. § 112, second paragraph.

#### Claim 30

Claim 30 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrases "yield enhancement," "improved nutritional quality," and "enhanced yield stability." According to the examiner the terms "yield enhancement," "improved nutritional quality," and "enhanced yield stability" are relative and have no definite meaning. Answer, page 14. The examiner is correct (Answer, page 14), when a word of degree is used appellant's specification must provide some standard for measuring that degree.

Seattle Box. Co. v. Industrial Crating & Packing, Inc., 731 F.2d 818, 826, 221 USPQ 568, 573-574 (Fed. Cir. 1984).

On this record, appellant asserts (Brief, page 11), it is “understood the enhancement of yield or yield stability and improved nutritional quality is relative to a plant lacking the single locus. The metes and bounds of the claim are thus fully understood by one of skill in the art and the use of the terms is not indefinite.” On reflection, we agree with appellant. The fact that some claim language is not mathematically precise does not per se render the claim indefinite. Seattle Box. As set forth in Shatterproof Glass, “[i]f the claims, read in the light of the specifications, reasonably apprise those skilled in the art both of the utilization and scope of the invention, and if the language is as precise as the subject matter permits, the courts can demand no more.” In our opinion, a person of ordinary skill in the art would have understood the enhancement of yield or yield stability and improved nutritional quality is relative to a plant lacking the single locus.

Accordingly we reverse the rejection of claim 30 under 35 U.S.C. § 112, second paragraph.

Written Description:

Claims 6, 11, 24, 25 and 27-31 stand rejected under 35 U.S.C. § 112, first paragraph, as the specification fails to adequately describe the claimed invention. For the following reasons, we reverse.

Claims 24 and 25<sup>11</sup>

Claims 24 and 25 both depend from claim 23. On this record, the examiner has indicated that claim 23 is allowable. Answer, page 2. The examiner finds (Answer, page 16), claims 24 and 25 are drawn to a hybrid plant or seed "produced by crossing inbred corn plant I015036 with any second, distinct inbred corn plant."

As we understand it, based on this construction of claims 24 and 25, the examiner is of the opinion that since the hybrids inherit only  $\frac{1}{2}$  of their diploid<sup>12</sup> set of chromosomes from the plant of corn variety I015036, a person of skill in the art would not have viewed the teachings of the specification as sufficient to demonstrate that appellant was in possession of the genus of hybrid seeds and plants encompassed by claims 24 and 25. According to the examiner (Answer, page 22), "[t]he fact that any hybrid plant will inherit half of its alleles from I015036 then does not provide sufficient description of the morphological and physiological characteristics expressed by the claimed hybrid plants."

There is no doubt that the expressed gene products of a hybrid plant, e.g., the morphological and physiological traits, of I015036 and a non-I015036 corn plant will depend on the combination of the genetic material inherited from both parents. See Answer, page 23. Nevertheless, we disagree with the examiner's

---

<sup>11</sup> We recognize, as does the examiner (Answer, page 22) that appellant's reference to claims 22-26 (Brief, page 13) was intended to be a reference to claims 24 and 25.

<sup>12</sup> According to appellant's specification (page 21), diploid means "a cell or organism having two sets of chromosomes."



conclusion (id.) that “[t]he fact that any hybrid plant will inherit half of its alleles from I015036 then does not provide sufficient description of the morphological and physiological characteristics expressed by the claimed hybrid plants.”

On these facts, we find it necessary to take a step back and consider what is claimed. The claims are drawn to a F<sub>1</sub> hybrid seed (claim 24) or plant (claim 25) resulting from a cross between a plant of corn variety I015036 and a non-I015036 corn variety. The claims do not require the hybrid to express any particular morphological or physiological characteristic. Nor do the claims require that a particular non-I015036 corn variety be used.<sup>13</sup> All that is required by the claims is that the hybrid has one parent that is a plant of corn variety I015036. Since the examiner has indicated that the seed and the plant of the corn variety I015036 are allowable (see claims 1 and 5), there can be no doubt that the specification provides an adequate written description of this corn variety. In addition, the examiner appears to recognize (Answer, page 25) that appellant’s specification describes an exemplary hybrid wherein one parent was a plant of the corn variety I015036, see e.g., specification, pages 53-59. Accordingly, it is unclear to this merits panel what additional description is necessary.

As set forth in Reiffin v. Microsoft Corp., 214 F.3d 1342, 1345, 54 USPQ2d 1915, 1917 (Fed. Cir. 2000), the purpose of the written description

---

<sup>13</sup> According to appellant (Brief, page 15), “hundreds or even thousands of different inbred corn lines were well known to those of skill in the art prior to the filing [date] of the instant application, each of which could be crossed to make a hybrid plant within the scope of the claims.”

requirement is to "ensure that the scope of the right to exclude, as set forth in the claims does not overreach the scope of the inventor's contribution to the field of art as described in the patent specification." Here the hybrid seed or plant has one parent that is a plant of the corn variety I015036. To that end, to satisfy the written description requirement, the inventor "must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention" [emphasis added]. Vas-Cath Inc. v. Mahurkar, 935 F.2d 1555, 1563-64, 19 USPQ2d 1111, 1117 (Fed. Cir. 1991). For the foregoing reasons it is our opinion that appellant has provided an adequate written description of the subject matter set forth in claims 24 and 25.

We recognize the examiner's argument relating to SSR and isozyme markers (Answer, pages 25-29), as well as the examiner's arguments concerning a correlation between the hybrid's genome structure and the function of the hybrid plant (Answer, pages 23-25). However, for the foregoing reasons, we are not persuaded by these arguments.

#### Claims 6 and 11

Claims 6 and 11 depend ultimately upon claim 5. On this record, the examiner has indicated that claim 5 is allowable. Answer, page 2.

According to the examiner (Answer, page 8), while the specification provides the locus names and allele numbers of the SSR markers, the specification does not provide the actual nucleotide sequences that make up the markers. According to the examiner (Answer, page 18), "names of loci alone do not describe the structures of the markers themselves. Without a description of

the sequences of the markers, one cannot confirm their presence.” In response, appellant points out (Brief, page 26), “the SSR markers were from Celera AgGen, Inc., which provides a commercial service for genotyping of maize varieties.” In other words, a person of ordinary skill in the art could use the commercially available service provided by Celera AgGen, Inc. to determine whether a corn plant produced by growing a seed of the corn variety I015036 has an SSR profile which is the same as that shown in Table 6. Therefore, it is unclear to this panel why the examiner believes that such a disclosure fails to provide adequate written descriptive support for the claimed invention.<sup>14</sup> Accordingly, we are not persuaded by the examiner’s argument.

Regarding the isozyme typing profile, the examiner finds (Answer, page 18), “Table 7 provides names of loci where isozyme markers reside, for three different corn plants, and a numerical value that represents the numbers of alleles at isozyme loci types. The nucleotide sequences that make up these loci are not described.” In response, appellant points out (Brief, page 26), the isozyme “markers are well known and isozyme analysis in general [is] very well known having been used for decades.” In this regard, we remind the examiner that the inquiry into whether the description requirement is met must be determined on a case-by-case basis and is a question of fact. In re Wertheim, 541 F.2d 257, 262, 191 USPQ 90, 96 (CCPA 1976). A description as filed is

---

<sup>14</sup> We are not persuaded by the examiner’s assertion (Answer, page 28) “that the [commercially available] service used to detect SSR markers is currently available is not a guarantee that it will remain so for the life of a patent issuing from the application.” Cf. In re Metcalfe, 410 F.2d 1378, 1382, 161 USPQ 789, 792-3 (CCPA 1969).

presumed to be adequate; unless or until sufficient evidence or reasoning to the contrary has been presented by the examiner to rebut the presumption. See e.g., In re Marzocchi, 439 F.2d 220, 224, 169 USPQ 367, 370 (CCPA 1971).

The examiner, therefore, must have a reasonable basis to challenge the adequacy of the written description. Accordingly, it is the examiner who has the initial burden of establishing by a preponderance of evidence that a person skilled in the art would not recognize in an applicant's disclosure a description of the invention defined by the claims. Wertheim, 541 F.2d at 263, 191 USPQ at 97. On this record, the examiner provides no evidence to support the assertion that simply because appellant has not provided the sequences that make up the loci for particular isozymes, appellant's specification does not adequately describe the claimed invention. Accordingly, we are not persuaded by the examiner's argument.

The examiner finds (Answer, page 37), claims 6 and 11 require that the claimed plant or plant cell exhibit either the claimed SSR profile or the isozyme profile. According to the examiner (id.), "[t]he genome of the cells of the I015036 seed deposited with the ATCC has both the SSR profile and the isozyme typing profile shown in Tables 5 and 6 for that plant. No plant is mentioned in the specification that has one genetic marker profile but not the other." The examiner's concern appears to be misplaced. To the extent that the examiner is concerned that the claim is open to read on a plant other than a corn plant produced by growing a seed of the corn variety I015036, we remind the

examiner that both claims 6 and 11 ultimately depend from claim 5<sup>15</sup>, which is drawn to "[a] corn plant produced by growing a seed of the corn variety I015036...."

It appears that the examiner may have read claims 6 and 11 as drawn to a corn plant or plant cell having only one of the recited profiles. However, as we understand claims 6 and 11, determining whether the claimed corn plant (claim 6) or plant cell (claim 11) has one of the profiles does not mean that the plant, or plant cell would not also exhibit the other profile.

In addition, we direct the examiner's attention to claims 6 and 11 of Appeal No. 2005-0396. As we understand it, notwithstanding differences in the SSR and isozyme profiles, the disclosure in the specification as well as the language of the claims is substantially similar to that of the instant application. Nevertheless, the examiner in Appeal No. 2005-0396 apparently found that appellant's specification provided an adequate written description of the claimed invention as no rejection of claims 6 and 11 was made under the written description provision of 35 U.S.C. § 112, first paragraph in Appeal No. 2005-0396. Accordingly, we find that the examiner has treated claims 6 and 11 in a manner that is inconsistent with the prosecution of similar claims in related application 10/077,589, which is the subject matter of Appeal No. 2005-0396.

For the foregoing reasons, we are not persuaded by the examiner's arguments.

---

<sup>15</sup> The examiner has indicated that claim 5 is allowable. Answer, page 2.

Claims 27-30

According to the examiner (Answer, page 18), “[c]laims 27-30 are drawn towards I015036 plants further comprising a single locus conversion, or wherein the single locus was stably inserted into a corn genome by transformation.” The examiner finds, however, that “the specification does not describe identified or isolated single loci for all corn plant traits.” Answer, page 19. More specifically, the examiner finds (id.), claims 27-30 “broadly encompass single loci that have not been discovered or isolated.” To the extent that the examiner is asserting that appellant has not provided an enabling disclosure of single loci that have not been identified, we note that to satisfy the written description requirement, the inventor “must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention” [emphasis added]. Vas-Cath.

Nevertheless, it may be that the examiner’s concern (Answer, page 31), is that “single loci that alone govern ‘yield enhancement’ or ‘enhanced yield stability’ have not been discovered.” In this regard, the examiner asserts (Answer, page 32), “the references cited in the specification do not describe isolated single genes or loci that confer yield enhancement or yield stability.” Therefore, the examiner concludes (id.), “[a]ppellant cannot be in possession of plants further comprising single loci that have yet to be identified.” The examiner, however, provides no evidence to support the assertion that a person of ordinary skill in the art would not recognize that single loci for yield enhancement or yield stability are known in the art. In this regard, we note that

appellant discloses (specification, page 31), "[m]any single locus traits have been identified ... examples of these traits include, but are not limited to, ... enhanced nutritional quality, industrial usage, yield stability, and yield enhancement." It appears that the examiner has overlooked appellant's assertion that single locus traits for yield stability and yield enhancement are well known in the art. To this end, we direct the examiner's attention to, for example, United States Patent No. 5,936,145 ('145)<sup>16</sup>, issued August 10, 1999, which is prior to the filing date of the instant application. For clarity, we reproduce claims 8, 29 and 39 of the '145 patent below:

8. A corn plant having all the physiological and morphological characteristics of corn plant 87DIA4, a sample of the seed of said corn plant having been deposited under ATCC Accession No. 203192.
29. The corn plant of claim 8, further comprising a single gene conversion.
39. The single gene conversion of the corn plant of claim 29, where the gene confers enhanced yield stability.

As we understand it, claim 39 of the '145 patent, is drawn to a corn plant which comprises a single gene conversion, wherein the gene confers enhanced yield stability. Thus, contrary to the examiner's assertion it appears, for example, that a single gene that confers enhanced yield stability was known in the art prior to the filing date of the instant application. We remind the examiner: "a patent need not teach, and preferably omits, what is well known in the art." Hybritech

---

<sup>16</sup> We note that the assignee of the '145 patent is DeKalb Genetics Corporation. The assignee of the present application is Monsanto Company, the parent of wholly-owned subsidiary DeKalb Genetics Corporation.

Incorporated v. Monoclonal Antibodies, Inc. 802 F.2d 1367, 1385, 231 USPQ 81, 94 (Fed. Cir. 1986).

We remind the examiner that the inquiry into whether the description requirement is met must be determined on a case-by-case basis and is a question of fact. Wertheim, 541 F.2d at 262, 191 USPQ at 96. A description as filed is presumed to be adequate; unless or until sufficient evidence or reasoning to the contrary has been presented by the examiner to rebut the presumption. See e.g., Marzocchi. The examiner, therefore, must have a reasonable basis to challenge the adequacy of the written description. Accordingly, it is the examiner who has the initial burden of establishing by a preponderance of evidence that a person skilled in the art would not recognize in an applicant's disclosure a description of the invention defined by the claims. Wertheim, 541 F.2d at 263, 191 USPQ at 97. On this record, the examiner provides no evidence to support the assertion that single loci that govern, for example, yield enhancement or enhanced yield stability are not described.

For the foregoing reasons, we are not persuaded by the examiner's arguments.

#### Claim 31

Claim 31 is drawn to a method of producing an inbred corn plant derived from the corn variety I015036. The claimed method begins by crossing a plant of the corn variety I015036 with any other corn plant. The method requires that the progeny corn plant be crossed either to itself, or with any other corn plant, and that the progeny of this cross be further crossed to itself, or with another corn



plant, and so on throughout several generations. As we understand it, claim 31, in its simplest form, is directed to a method of using a plant of the corn variety I015036 to produce an inbred corn plant.

Nevertheless, the examiner finds (Answer, page 20), "[a] review of the claim indicates that hybrid progeny of corn plant I015036 are required to perform further crosses, and that progeny of subsequent generations can be further outcrossed with different corn plants." Therefore, the examiner concludes (id.), "[t]he hybrid progeny of corn plant I015036, and progeny plants of subsequent generations, are essential to operate the claimed method." As we understand the examiner's argument, not only does appellant have to provide a written description of the starting corn plant (I015036), but appellant also must look into the future to determine every other potential corn plant that someone may wish to cross with the I015036 corn variety, and provide written descriptive support for not only every other corn plant that could be crossed with I015036, but also the resulting progeny of each cross.

As set forth in Reiffin, the purpose of the written description requirement is to "ensure that the scope of the right to exclude, as set forth in the claims does not overreach the scope of the inventor's contribution to the field of art as described in the patent specification." Here the method of producing an inbred corn plant requires a plant of the corn variety I015036 be used as the starting material. To that end, to satisfy the written description requirement, the inventor "must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention" [emphasis added].

Vas-Cath. The examiner has indicated that a claim to a plant of the corn variety I015036 is allowable, see e.g., appellant's claim 5. Therefore, in our opinion, there can be no doubt that appellant was in possession of a plant of the corn variety I015036, in addition to a method of using that plant to cross with any other corn plant to produce an inbred corn plant as set forth in appellant's claim 31.

In our opinion, it matters not what the other corn plants are, or what the progeny of a cross between corn variety I015036 and some other corn plant represents. As the examiner explains (Answer, bridging paragraph, pages 20-21), patentability of the method of claim 31 "does not lie in the method steps, which require the simple acts of crossing corn plants, allowing progeny seed to be produced, and growing progeny plants from the seed...." In our opinion, patentability of the method of claim 31 does not lie in the various other or second corn plants either. In our opinion, patentability of the method of claim 31 lies in the use of the corn variety I015036. Accordingly, for the foregoing reasons, it is our opinion that appellant has "convey[ed] with reasonable clarity to those skilled in the art that, as of the filing date sought, [they were] in possession of the invention," Vas-Cath (emphasis omitted).

#### Summary

For the foregoing reasons, we reverse the rejection of claims 6, 11, 24, 25 and 27-31 under the written description provision of 35 U.S.C. § 112, first paragraph.

Enablement:

Claims 27-30 stand rejected under the enablement provision of 35 U.S.C. § 112, first paragraph. The examiner finds (Answer, page 39), claims 27-30 "are broadly drawn towards inbred corn plant I015036 further defined as having a genome comprising any single locus conversion, encoding any trait; or wherein the single locus was stably inserted into a corn genome by transformation." The examiner presents several lines of argument under this heading. We take each in turn.

I. Retaining all the morphological and physiological traits of I015036:

According to the examiner (Answer, page 38, emphasis added), "the specification does not teach any I015036 plants comprising a single locus conversion produced by backcrossing, wherein the resultant plant retains all of its morphological and physiological traits in addition to exhibiting the single trait conferred by the introduced single locus." With reference to Hunsperger, Kraft, and Eshed the examiner asserts (Answer, page 41), "[t]he rejection raises the issue of how linkage drag hampers the insertion of single genes alone into a plant by backcrossing, while recovering all of the original plant's genome."

We note, however, that claims 27-30 do not require that the single locus conversion plant retain all of the morphological and physiological traits of the parent plant in addition to exhibiting the single trait conferred by the introduction of the single loci. Nor do claims 27-30 require that the resultant plant retain all of the original plant's genome in addition to the single locus transferred into the

inbred via the backcrossing technique. As appellant explains (specification, bridging paragraph, pages 29-30, emphasis added),

[t]he term single locus converted plant as used herein refers to those corn plants which are developed by a plant breeding technique called backcrossing wherein essentially all of the desired morphological and physiological characteristics of an inbred are recovered in addition to the single locus transferred into the inbred via the backcrossing technique.

See also appellant's definition of single locus converted (conversion) plant at page 23 of the specification. We find nothing in the appellant's specification to indicate that the single locus converted plant retains all of the morphological and physiological traits, or all of the genome, of the parent plant in addition to the single locus transferred via the backcrossing technique. Accordingly, we disagree with the examiner's construction of claims 27-30 as "directed to exactly plant I015036, further comprising the single locus," which appears to disregard appellant's definition of a single locus converted plant. See Answer, page 43, emphasis added.

The examiner appears to appreciate (Answer, page 43) that appellant's specification provides an example of a converted plant. See e.g., specification, pages 35-36. However, for the foregoing reasons, we are not persuaded by the examiner's assertion (Answer, page 43) that the specification provides "no indication that all of the morphological and physiological traits of [this converted] ... corn plant were recovered, and that only one single locus was transferred from the donor plant." To the contrary, the examiner provides no evidence that the converted plant exemplified in appellant's specification did not retain

essentially all of the desired morphological and physiological characteristics of the inbred in addition to the characteristics conferred by the single locus transferred into the inbred via the backcrossing technique.

Further, we recognize appellant's argument (Brief, page 27) that the examiner failed to establish a nexus between Hunsperger's discussion of petunias; Kraft's discussion of sugar beets; and Eshed's discussion of tomatoes, and the subject matter of the instant application - corn. Absent evidence to the contrary, we agree with appellant (id.), the examiner's opinion<sup>17</sup> that the references concerning petunias, sugar beets and tomatoes apply to corn is unsupported on this record. That the examiner has failed to identify (Answer, page 41) an example "in the prior art of plants in which linkage drag does not occur," does not mean that linkage drag is expected to occur in corn breeding, which according to appellant (Reply Brief, page 10) "is extremely advanced and well known in the art..." In this regard, we agree with appellant (Brief, page 28; Accord Reply Brief, page 11), the examiner has improperly placed the burden on appellant to demonstrate that the examiner's unsupported assertion is not true. We remind the examiner, as set forth in In re Wright, 999 F.2d 1557, 1561-62, 27 USPQ2d 1510, 1513 (Fed. Cir. 1993):

When rejecting a claim under the enablement requirement of section 112, the PTO bears an initial burden of setting forth a reasonable explanation as to why it believes that the scope of protection provided by that claim is not adequately enabled by the description of the invention provided in the specification of the application; this includes, of course, providing sufficient reasons for

---

<sup>17</sup> See Answer page 41, wherein the examiner asserts "[l]inkage drag appears to be a phenomenon that occurs in all plant types."

doubting any assertions in the specification as to the scope of enablement.

II. What plant is transformed in claim 28?

We recognize the examiner's assertion (Answer, page 39) that while claim 28 requires that a single locus be stably inserted into a corn genome by transformation, the claim does not indicate whether (1) the I015036 plant was transformed with the single locus, or (2) some other corn plant was transformed with the single locus and then introduced into I015036 by crossing. However, as appellant points out (Brief, page 12), claim 28 "specifies that the single locus was stably inserted into a corn genome. Loci that are stably inserted into a corn genome are also stably inherited. Thus the single locus need not have been inserted into the genome of corn variety I015036." Accordingly, the I015036 plant may be transformed with the single locus, or another plant may be transformed with the single locus and then introduced into I015036 by crossing.

It may be that the examiner is concerned that by transforming a non-I015036 plant with a single locus and then introducing this locus into I015036 by crossing would result in a plant that does not retain all of the morphological and physiological traits, or all of the genome, of the I015036 plant. For the foregoing reasons, however, this line of reasoning is not persuasive.

III. The single locus to be introduced:

The examiner finds (Answer, page 40), "the claims do not place any limit on the single locus to be introduced" into I015036 plants. The examiner recognizes, however, that "[t]he prior art shows that hundreds of nucleotide

sequences encoding products that confer various types of plant traits have been isolated at the time the instant invention was filed." Id. In addition, the examiner recognizes (id.), "[o]ne skilled in the art can transform any of these isolated nucleotide sequences known in the prior art into a corn plant cell, and regenerate a transgenic plant from the transformed cell."

Nevertheless, the examiner finds (id.), "[u]ndue experimentation would be required by one skilled in the art to isolate single loci that govern the traits encompassed by the claims." In this regard, the examiner asserts (Answer, page 44) that the claims broadly encompass corn plants comprising any type of single loci, including those that have not yet been identified or isolated. To the extent that the examiner is asserting that appellant has not provided an enabling disclosure of single loci that have not been identified, we note that enablement under 35 U.S.C. § 112, first paragraph is evaluated as of appellant's filing date. As set forth in Chiron Corp. v. Genentech Inc., 363 F.3d 1247, 1254, 70 USPQ2d 1321, 1325-26 (Fed. Cir. 2004), "a patent document cannot enable technology that arises after the date of application. The law does not expect an applicant to disclose knowledge invented or developed after the filing date. Such disclosure would be impossible. See In re Hogan, 559 F.2d 595, 605-06 [194 USPQ 527] (CCPA 1977)."

The examiner's comment, however, may be directed to his assertion (Answer, page 40) that "isolated loci whose products confer yield enhancement or enhanced yield stability (recited in claim 30), are not known in the prior art." However, as discussed, supra, it appears that contrary to the examiner's

assertion a single locus that confers the trait of, for example, yield enhancement was known in the art prior to the filing date of the instant invention. In addition, as discussed, supra, appellant's specification asserts that such traits were known in the art. See specification, page 31. Accordingly, as set forth in In re Marzocchi, 439 F.2d 220, 224, 169 USPQ 367, 370 (CCPA 1971), the burden is on

the Patent Office, whenever a rejection on this basis is made, to explain why it doubts the truth or accuracy of any statement in a supporting disclosure and to back up assertions of its own with acceptable evidence or reasoning which is inconsistent with the contested statement. Otherwise, there would be no need for the applicant to go to the trouble and expense of supporting his presumptively accurate disclosure.

On this record, we find only the examiner's unsupported conclusions as to why the specification does not enable the claimed invention. We remind the examiner that nothing more than objective enablement is required, and therefore it is irrelevant whether this teaching is provided through broad terminology or illustrative examples. Marzocchi, 439 F.2d at 223, 169 USPQ at 369. In the absence of an evidentiary basis to support the rejection, the examiner has not sustained his initial burden of establishing a prima facie case of non-enablement. In this regard, we note that the burden of proof does not shift to appellant until the examiner first meets his burden. Marzocchi, 439 F.2d at 223-224, 169 USPQ at 369-370.

We also recognize the examiner's assertion (Answer, pages 40-41) that claims 27-29 "encompass plants with single loci whose functions are unknown," or where the effects of expression of the single locus on the traits expressed by



IO15036 are unknown. While this may be true, the examiner has not provided any evidence to suggest that it would require undue experimentation to obtain a single locus converted plant wherein essentially all of the desired morphological and physiological characteristics of an inbred are recovered in addition to the characteristics conferred by the single locus transferred into the inbred via the backcrossing technique. See specification, page 23.

While it is not expressly stated in the text of the examiner's rejection, it may be that the examiner is concerned that the claims include inoperative embodiments. If so, the examiner is directed to Atlas Powder Co. v. E.I. DuPont De Nemours & Co., 750 F.2d 1569, 1576-77, 224 USPQ 409, 414 (Fed. Cir. 1984):

Even if some of the claimed combinations were inoperative, the claims are not necessarily invalid. "It is not a function of the claims to specifically exclude ... possible inoperative substances...." In re Dinh-Nguyen, 492 F.2d 856, 859-59, 181 USPQ 46, 48 (CCPA 1974)(emphasis omitted). Accord, In re Geerdes, 491 F.2d 1260, 1265, 180 USPQ 789, 793 (CCPA 1974); In re Anderson, 471 F.2d 1237, 1242, 176 USPQ 331, 334-35 (CCPA 1971). Of course, if the number of inoperative combinations becomes significant, and in effect forces one of ordinary skill in the art to experiment unduly in order to practice the claimed invention, the claims might indeed be invalid. See e.g., In re Cook, 439 F.2d 730, 735, 169 USPQ 298, 302 (CCPA 1971).

On this record, the examiner provides no evidence that the number of inoperative embodiments is so large that a person of ordinary skill in the art would have to experiment unduly to practice the claimed invention. To the contrary, the examiner recognizes (Answer, page 40) that "[t]he prior art shows that hundreds of nucleotide sequences encoding products that confer various

types of plant traits have been isolated at the time the instant invention was filed"; and that "[o]ne skilled in the art can transform any of these isolated nucleotide sequences known in the prior art into a corn plant cell, and regenerate a transgenic plant from the transformed cell." Accordingly, we are not persuaded by the examiner's unsupported assertions.

For the foregoing reasons, we reverse the rejection of claims 27-30 under the enablement provision of 35 U.S.C. § 112, first paragraph.

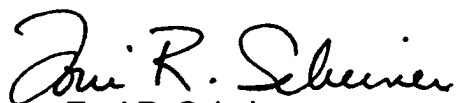
#### SUMMARY

We reverse the rejection of claims 3, 6, 11, 14-20, and 27-30 under 35 U.S.C. § 112, second paragraph.


We reverse the rejection of claims 6, 11, 24, 25 and 27-31 under the written description provision of 35 U.S.C. § 112, first paragraph.

We reverse the rejection of claims 27-30 under the enablement provision of 35 U.S.C. § 112, first paragraph.

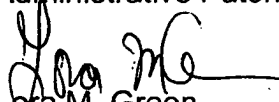
#### REVERSED



Toni R. Scheiner  
Administrative Patent Judge



Donald E. Adams  
Administrative Patent Judge



Lora M. Green  
Administrative Patent Judge

)  
)  
)  
) BOARD OF PATENT  
)  
) APPEALS AND  
) INTERFERENCES  
)  
)  
)

Robert E. Hanson  
FULBRIGHT & JAWORSKI L.L.P.  
A REGISTERED LIMITED LIABILITY PARTNERSHIP  
600 CONGRESS AVENUE, SUITE 2400  
AUSTIN, TX 78701



The opinion in support of the decision being entered today was not written for publication and is not binding precedent of the Board.

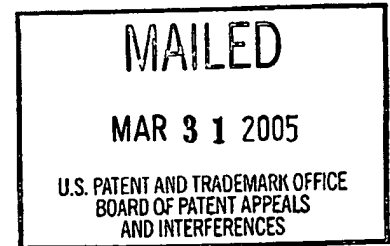
## UNITED STATES PATENT AND TRADEMARK OFFICE

### BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Ex parte Francis L. Garing

Appeal No. 2004-2343<sup>1</sup>  
Application No. 09/772,520

ON BRIEF<sup>2</sup>



Before SCHEINER, ADAMS and GREEN, Administrative Patent Judges.

ADAMS, Administrative Patent Judge.

#### DECISION ON APPEAL

This is a decision on the appeal under 35 U.S.C. § 134 from the examiner's final rejection of claims 3, 6, 11, 14-20 and 24-31. The examiner has indicated that claims 1, 2, 5, 7-10, 12, 13 and 21-23 are allowable. Claim 4 is cancelled.

<sup>1</sup> This appeal is substantially similar to Appeal No. 2004-1503, Application No. 09/606,808; Appeal No. 2004-1506, Application No. 09/788,334; Appeal No. 2004-1968, Application No. 10/00,0311; Appeal No. 2004-2317, Application No. 09/771,938; and Appeal No. 2005-0396, Application No. 10/077,589, which all share the same assignee, Monsanto Company, the parent of wholly-owned subsidiary DeKalb Genetics Corporation. Accordingly we have considered these appeals together.

<sup>2</sup> We note that appellant waived his request for Oral Hearing. See Paper received December 3, 2004.

Claims 3, 6, 15, 16, 17, 27, 28 and 30 are illustrative of the subject matter on appeal and are reproduced below. In addition, for convenience, we have reproduced allowable claims 2 and 5 below:

2. A population of seed of the corn variety I026458, wherein a sample of the seed of the corn variety I026458 was deposited under ATCC Accession No. PTA-3228.
3. The population of seed of claim 2, further defined as an essentially homogeneous population of seed.
5. A corn plant produced by growing a seed of the corn variety I026458, wherein a sample of the seed of the corn variety I026458 was deposited under ATCC Accession No. PTA-3228.
6. The corn plant of claim 5, having:
  - (a) an SSR profile in accordance with the profile shown in Table 6; or
  - (b) an isozyme typing profile in accordance with the profile shown in Table 7.
15. A corn plant capable of expressing all the physiological and morphological characteristics of the corn variety I026458, wherein a sample of the seed of the corn variety I026458 was deposited under ATCC Accession No. PTA-3228.
16. The corn plant of claim 15, further comprising a nuclear or cytoplasmic gene conferring male sterility.
17. A tissue culture of regenerable cells of a plant of corn variety I026458, wherein the tissue is capable of regenerating plants capable of expressing all the physiological and morphological characteristics of the corn variety I026458, wherein a sample of the seed of the corn variety I026458 was deposited under ATCC Accession No. PTA-3228.
27. The corn plant of claim 5, further defined as having a genome comprising a single locus conversion.
28. The corn plant of claim 27, wherein the single locus was stably inserted into a corn genome by transformation.
30. The corn plant of claim 27, wherein the locus confers a trait selected from the group consisting of herbicide tolerance; insect resistance; resistance to bacterial, fungal, nematode or viral disease; yield enhancement; waxy

starch; improved nutritional quality; enhanced yield stability; male sterility and restoration of male fertility.

The references relied upon by the examiner are:

Hunsperger et al. (Hunsperger)                      5,523,520                      Jun. 4, 1996

Eshed et al. (Eshed), "Less-Than-Additive Epistatic Interactions of Quantitative Trait Loci in Tomato," Genetics, Vol. 143, pp. 1807-17 (1996)

Kraft et al. (Kraft), "Linkage Disequilibrium and Fingerprinting in Sugar Beet," Theoretical and Applied Genetics, Vol. 101, pp. 323-36 (2000)

### GROUND OF REJECTION

Claim 3 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "an essentially homogeneous population of seed."

Claim 14 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "[a]n essentially homogeneous population of corn plants produced by growing the seed of the corn variety I026458."

Claims 6 and 11 stand rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "in accordance with."

Claims 15, and 17-20<sup>3</sup> stand rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "capable of expressing."

---

<sup>3</sup> According to the examiner (Answer, pages 12 and 13), since claims 18 and 19 depend from claim 17 they are included in this rejection.

Claims 16 and 27-30<sup>4</sup> stand rejected under 35 U.S.C. § 112, second paragraph as failing to limit the scope of the claims from which they depend.

Claim 28 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of “the article ‘a’ in the recitation ‘wherein the single locus was stably inserted into a corn genome.’”

Claim 30 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrases “yield enhancement,” “improved nutritional quality,” and “enhanced yield stability.”

Claims 6, 11, 24-31<sup>5</sup> stand rejected under the written description provision of 35 U.S.C. § 112, first paragraph.

Claims 27-30 stand rejected under the enablement provision of 35 U.S.C. § 112, first paragraph.

We reverse.

---

<sup>4</sup> According to the examiner (Answer, page 4), “[c]laims ... 27-30 ... stand rejected under 35 U.S.C. [§] 112, second paragraph...” The examiner, however, provides no explanation as to why claim 29 is rejected. We can only assume that since claim 29, as well as claims 28 and 30, each depend from claim 27, they are rejected for the same reason as claim 27. Accordingly, we have included claims 28-30 with this ground of rejection.

<sup>5</sup> We recognize the examiner’s statement (Answer, page 3), “[c]laim 26 was objected to in the Office Action mailed 23 September 2003, as being in improper dependent form for failing to further limit the subject matter of previous claim. Appellant did not address this objection. An objection to a claim, however, is the subject matter of a petition, and is not properly before us on appeal. Nevertheless, we make the following observation regarding claim 26, and encourage the examiner and appellant to work together to remedy this issue, prior to any further action on the merits.

According to appellant’s specification (page 20), a F<sub>1</sub> hybrid is “[t]he first generation progeny of the cross of two plants.” Therefore, as we understand the prosecution history as well as the language of the claims, claims 24 and 25 to refer to F<sub>1</sub> hybrids. In this regard, we note that similar claims, directed to a different corn variety, were presented for our review in Appeal Nos. 2004-1506 and 2004-2317. During the oral hearing in Appeal Nos. 2004-1506 and 2004-2317, appellant’s representative confirmed that all claims drawn to hybrid plants or hybrid seeds (see e.g., claims 24 and 25) refer to F<sub>1</sub> hybrids. Accordingly, it appears that claim 26 fails to further limit claim 25 from which it depends.

### BACKGROUND

The present "invention relates to inbred corn seed and plants of the variety designated I026458, and derivatives and tissue cultures thereof." Specification, page 2. According to appellant (specification, page 28), "[a] description of the physiological and morphological characteristics of corn plant I026458 is presented in Table 3" of the specification, pages 28-29. On this record the examiner has indicated that claims drawn to plants, plant parts, and seed of the corn variety designated I026458 are allowable. See e.g., claims 1, 2, 5, 7-10, 12 and 13, and Answer, page 2, wherein the examiner states "[c]laims 1, 2, 5, 7-10, 12 [and] 13 ... are allowed."

A second aspect of the present invention comprises hybrid plants and processes "for producing [first generation (F<sub>1</sub>) hybrid<sup>6</sup>] corn seeds or plants, which ... generally comprise crossing a first parent corn plant with a second parent corn plant, wherein at least one of the first or second parent corn plants is a plant of the variety designated I026458." Specification, pages 7-9. On this record the examiner has indicated that claims drawn to a process of producing corn seed wherein the process comprises crossing a first parent corn plant with a second parent corn plant are allowable. See e.g., claims 21-23 and Answer, page 2, wherein the examiner states claims "21-23 are allowed."

---

<sup>6</sup> According to the specification (page 21), a F<sub>1</sub> hybrid is "[t]he first generation progeny of the cross of two plants." During oral hearing, appellant confirmed that all claims drawn to hybrid plants or hybrid seeds (see e.g., claims 24 and 25) refer to F<sub>1</sub> hybrids.



A third aspect of the present invention comprises single locus converted plants of the corn variety I026458. Specification, page 6. As appellant explains (specification, page 23, emphasis added), single locus converted (conversion) plants are those plants

which are developed by a plant breeding technique called backcrossing wherein essentially all of the desired morphological and physiological characteristics of an inbred are recovered in addition to the characteristics conferred by the single locus transferred into the inbred via the backcrossing technique. A single locus may comprise one gene, or in the case of transgenic plants, one or more transgenes integrated into the host genome at a single site (locus).

As appellant explains (specification, page 31):

Many single locus traits have been identified that are not regularly selected for in the development of a new inbred but that can be improved by backcrossing techniques. Single locus traits may or may not be transgenic; examples of these traits include, but are not limited to, male sterility, waxy starch, herbicide resistance, resistance for bacterial, fungal, or viral disease, insect resistance, male fertility, enhanced nutritional quality, industrial usage, yield stability, and yield enhancement. These genes are generally inherited through the nucleus, but may be inherited through the cytoplasm. Some known exceptions to this are genes for male sterility, some of which are inherited cytoplasmically, but still act as single locus traits.

A final aspect of the present invention is directed to a process of producing an inbred corn plant derived from a plant of the corn variety I026458.

See e.g., claim 31. According to appellant's specification (bridging paragraph, pages 10-11),

the present invention provides a method of producing an inbred corn plant derived from the corn variety I026458, the method comprising the steps of: (a) preparing a progeny plant derived from corn variety I026458, wherein said preparing comprises crossing a plant of the corn variety I026458 with a second corn plant, and

wherein a sample of the seed of corn variety I026458 has been deposited under ATCC Accession No. PTA-3228; (b) crossing the progeny plant with itself or a second plant to produce a seed of a progeny plant of a subsequent generation; (c) growing a progeny plant of a subsequent generation from said seed of a progeny plant of a subsequent generation and crossing the progeny plant of a subsequent generation with itself or a second plant; and (d) repeating steps (c) and (d) for an addition 3-10 generations to produce an inbred corn plant derived from the corn variety I026458. In the method, it may be desirable to select particular plants resulting from step (c) for continued crossing according to steps (b) and (c). By selecting plants having one or more desirable traits, an inbred corn plant derived from the corn variety I026458 is obtained which possesses some of the desirable traits of corn variety I026458 as well potentially other selected traits.

According to the examiner (Answer, page 36), "[t]he patentability of the method of claim 31 does not lie in the acts of the process, which are the simple acts of crossing corn plants, allowing progeny seed to be produced, and growing progeny plants from the seed...." Therefore, as we understand this aspect of the claimed invention (e.g., claim 31), the intent is not to claim a specific inbred corn plant resulting from the claimed process. See claim 31. Instead, as we understand it, claim 31 is drawn to a process wherein an inbred corn plant is derived from the corn variety I026458.

As appellant explains (specification, page 3),

The development of uniform corn plant hybrids requires the development of homozygous inbred plants, the crossing of these inbred plants, and the evaluation of the crosses. Pedigree breeding and recurrent selection are examples of breeding methods used to develop inbred plants from breeding populations. Those breeding methods combine the genetic backgrounds from two or more inbred plants or various other broad-based sources into breeding pools from which new inbred plants are developed by selfing and selection of desired phenotypes. The new inbreds are crossed with other inbred plants and the hybrids from these crosses are evaluated to determine which of those have commercial potential.

We emphasize, that while “new inbreds” having commercial potential may result from the method set forth in claim 31, the claim does not encompass any specific plant that is produced as a result of the method. Rather the claim encompasses only a method of producing an inbred corn plant that is “derived” from the corn variety I026458. The examiner has indicated that a claim drawn to a corn plant of the corn variety I026458 is allowable. See e.g., claim 5, and Answer, page 2, wherein the examiner states that claim 5 is allowed.

Against this backdrop, we now consider the rejections of record.

### DISCUSSION

#### Definiteness:

Claims 3, 6, 11, 14-20 and 27-30 stand rejected under 35 U.S.C. § 112, second paragraph. For the following reasons we reverse.

#### Claim 3

Claim 3 depends from independent claim 2, and stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase “an essentially homogeneous population of seed....” Answer, page 4. According to the examiner (id.), claim 2 is drawn to “[a] population of seed of the corn variety I026458, wherein a sample of the seed of the corn variety I026458 was deposited under ATCC Accession No. PTA-3228.” Thus, the examiner finds (Answer, page 5), the population of seed set forth in claim 2 “is a homogeneous population of seed of corn variety I026458.” Accordingly, the examiner finds

(id.), “[t]he recitation, ‘essentially homogeneous,’ in claim 3 ... appear[s] to be superfluous.”

However, as disclosed in appellant’s specification (page 5),

[e]ssentially homogeneous populations of inbred seed are those that consist essentially of the particular inbred seed, and are generally free from substantial numbers of other seed, so that the inbred seed forms between about 90% and about 100% of the total seed, and preferably, between about 95% and about 100% of the total seed.

Accordingly, we disagree with the examiner’s assertion (Answer, page 6) that claim 3 is unclear simply because it may contain seed other than the seed of the corn variety I026458. We remind the examiner that claim language must be analyzed “not in a vacuum, but always in light of the teachings of the prior art and of the particular application disclosure as it would be interpreted by one possessing the ordinary skill in the pertinent art.” In re Moore, 439 F.2d 1232, 1235, 169 USPQ 236, 238 (CCPA 1971). Here, notwithstanding appellant’s comments<sup>7</sup>, it is our opinion that a person of ordinary skill in the art would recognize that an essentially homogeneous population of seed of the corn variety I026458 is a population of seed that is generally free from substantial numbers of other seed, e.g., wherein corn variety I026458 seed forms between about 90% and about 100% of the total seed in the population.<sup>8</sup>

---

<sup>7</sup> According to appellant (Brief, page 7), an essentially homogeneous population of seed, is a population of seed that could be of non-uniform size and shape.

<sup>8</sup> Cf. the examiner’s statement (Answer, page 6), “amending claim 3 to read ‘[a]n essentially homogeneous population of corn seeds consisting essentially of seed of claim 1’, would obviate this rejection.”

Accordingly, we reverse the rejection of claim 3 under 35 U.S.C. § 112, second paragraph.

Claim 14

Claim 14 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase “[a]n essentially homogeneous population of corn plants produced by growing the seed of the corn variety I026458.” Answer, page 6. According to the examiner (*id.*), “[t]he I026458 seed can only produce I026458 plants. ... [Therefore,] [t]he population can ... only consist of I026458 plants.” Accordingly, the examiner finds it unclear “why the population is referred to as ‘essentially homogeneous,’ since such populations can comprise more than one variety of plant.” Answer, bridging sentence, pages 6-7.

As appellant discloses (specification, page 6), “[t]he population of inbred corn seed of the invention can further be particularly defined as being essentially free from hybrid seed. The inbred seed population may be separately grown to provide an essentially homogeneous population of inbred corn plants designated I026458.” As we understand the claim, growing the seed of claim 3, for example, would produce an essentially homogeneous population of corn plants of the corn variety I026458.<sup>9</sup>

---

<sup>9</sup> Cf. The examiner’s statement (Answer, page 8), amending claim 14 “to read, ‘[a]n essentially homogeneous population of corn plants produced by growing a population of corn seed consisting essentially of the seed of corn plant I026458...’ would obviate the rejection.”

In addition, we direct the examiner's attention to Appeal No. 2005-0396, wherein a claim similar to claim 14 was presented for our review. In Appeal No. 2005-0396, the examiner of record indicated that claim 14, directed to "[a]n essentially homogeneous population of corn plants produced by growing the seed of the corn variety I180580...." was allowable. Accordingly, we find that the examiner has treated claim 14 in a manner that is inconsistent with the prosecution of claim 14 in 2005-0396. As we understand it, the only difference between claim 14 as it appears in Appeal No. 2005-0396 and the instant appeal is the variety of corn seed from which the plant is produced.

Accordingly we reverse the rejection of claim 14 under 35 U.S.C. § 112, second paragraph.

#### Claims 6 and 11

Claims 6 and 11 stand rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "in accordance with." According to the examiner (Answer, page 9), it is unclear if a plant "that generally follows the trend of the profile of Table 6, but which differs at one or a few loci, [would] be considered in 'conformity' or 'in accordance' with the profile of Table 6."

On this record, we understand the phrase "in accordance with" as it is used in claims 6 and 11 to mean "the same"<sup>10</sup> Stated differently, we understand the claims to read:

---

<sup>10</sup> Cf. Appeal Nos. 2004-1506 and 2004-2317, which use similar language for claims directed to different corn varieties. In this regard, we note that during the February 10, 2005 oral hearing in Appeal Nos. 2004-1506 and 2004-2317, appellant's representative confirmed that the phrase "in accordance with" was intended to mean "the same".

6. The corn plant of claim 5, having:
  - (a) the same SSR profile as shown in Table 6; or
  - (b) the same isozyme typing profile as shown in Table 7.
11. The plant part of claim 10, wherein said cell is further defined as having:
  - (a) The same SSR profile as shown in Table 6; or
  - (b) The same isozyme typing profile as shown in Table 7.

Accordingly we reverse the rejection of claims 6 and 11 under 35 U.S.C.

§ 112, second paragraph.

#### Claims 15 and 17-20

Claims 15, and 17-20 stand rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrase "capable of expressing," or "capable of regenerating." According to the examiner (Answer, page 9), the claims do "not make clear if the plant actually expresses the traits, or when or under what conditions the traits are expressed." In this regard, the examiner finds (Answer, page 10),

while the plant has the capacity to express the characteristics, for some reason it may not. Certain characteristics of a plant are expressed only at certain times of its life cycle, and are incapable of being expressed at other times. The colors of flower parts such as silks, or fruit parts such as husks, are examples. The promoters of many genes conferring traits require a transcription factor to become active. Is a plant that has such a gene, but not the transcription factor, considered "capable of expressing" that gene, and the trait associated with that gene, and is such a plant encompassed by the claims?

To address the examiner's concerns, we find it sufficient to state that if a plant has the capacity to express the claimed characteristics it meets the requirement of the claim regarding "capable of," notwithstanding that due to a particular phase of the life cycle the plant is not currently expressing a particular

characteristic. Alternatively, if a plant is incapable of expressing the claimed characteristics at any phase of the life cycle, because it lacks, for example, the "transcription factor" required for expression – such a plant would not meet the requirement of the claim regarding "capable of."

Here, we find the examiner's extremely technical criticism to be a departure from the legally correct standard of considering the claimed invention from the perspective of one possessing ordinary skill in the art.<sup>11</sup> In our opinion, a person of ordinary skill in the art would understand what is claimed. Amgen Inc. v. Chugai Pharmaceutical Co., Ltd., 927 F.2d 1200, 1217, 18 USPQ2d 1016, 1030 (Fed. Cir. 1991). We find the same to be true for the phrase "capable of" as set forth in claims 17-20.

Accordingly we reverse the rejection of claims 15, and 17-20 under 35 U.S.C. § 112, second paragraph.

#### Claims 16 and 27-30

Claims 16 and 27-30 stand rejected under 35 U.S.C. § 112, second paragraph as failing to limit the scope of the claims from which they depend. According to the examiner (Answer, page 11), since the plant set forth in claim 16 is male sterile it cannot express all the morphological and physiological characteristics of the male fertile corn variety 1026458. Similarly, the examiner finds it unclear whether the plant set forth in claim 27 has all the characteristics of the plant set forth in claim 5, from which claim 27 depends. Id. In response,

---

<sup>11</sup> Cf. Digital Equipment Corp. v. Diamond, 653 F.2d 701, 724, 210 USPQ 521, 546 (CA 1981).



appellant asserts (Brief, pages 9-10), claims 16 and 27 simply add a further limitation to the claims from which they depend. We agree.

For example, claim 16 reads on a corn plant capable of expressing all the physiological and morphological characteristics of the corn variety I026458, further comprising a nuclear or cytoplasmic gene conferring male sterility. In our opinion, the claims reasonably apprise those of skill in the art of their scope.

Amgen, As set forth in Shatterproof Glass Corp. v. Libbey-Owens Ford Co., 758 F.2d 613, 624, 225 USPQ 634, 641 (Fed. Cir. 1985), “[i]f the claims, read in the light of the specifications, reasonably apprise those skilled in the art both of the utilization and scope of the invention, and if the language is as precise as the subject matter permits, the courts can demand no more.”

Accordingly we reverse the rejection of claims 16 and 27-30 under 35 U.S.C. § 112, second paragraph.

#### Claim 28

Claim 28 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of “the article ‘a’ in the recitation ‘wherein the single locus was stably inserted into a corn genome.’” According to the examiner (Answer, page 13), “[t]he recitation does not make clear if the genome is that of I026458 or that of a different corn plant.”

According to appellant’s specification (page 23, emphasis removed), a “Single Locus Converted (Conversion) Plant” refers to

[p]lants which are developed by a plant breeding technique called backcrossing wherein essentially all of the desired morphological and physiological characteristics of an inbred are recovered in

addition to the characteristics conferred by the single locus transferred into the inbred via the backcrossing technique. A single locus may comprise one gene, or in the case of transgenic plants, one or more transgenes integrated into the host genome at a single site (locus).

Accordingly, we agree with appellant (Brief, page 12) "[t]he single locus referred to in claim 28 may or may not have been directly inserted into the genome of the claimed plant." As we understand the claim, and arguments of record, claim 28 presents two possibilities: (1) the single locus is directly inserted into the claimed plant and nothing further need be done; or (2) the single locus is directly inserted into a different plant, which is then used to transfer the single locus to the claimed plant through use of the plant breeding technique known as backcrossing.

In our opinion, the claim reasonably apprises those of skill in the art of its scope. Amgen. Accordingly, we reverse the rejection of claim 28 under 35 U.S.C. § 112, second paragraph.

#### Claim 30

Claim 30 stands rejected under 35 U.S.C. § 112, second paragraph as indefinite in the recitation of the phrases "yield enhancement," "improved nutritional quality," and "enhanced yield stability." According to the examiner the terms "yield enhancement," "improved nutritional quality," and "enhanced yield stability" are relative and have no definite meaning. Answer, page 14. The examiner is correct (Answer, page 14), when a word of degree is used appellant's specification must provide some standard for measuring that degree.

Seattle Box. Co. v. Industrial Crating & Packing, Inc., 731 F.2d 818, 826, 221

USPQ 568, 573-574 (Fed. Cir. 1984).

On this record, appellant asserts (Brief, page 12), it is "understood the enhancement of yield or yield stability and improved nutritional quality is relative to a plant lacking the single locus. The metes and bounds of the claim are thus fully understood by one of skill in the art and the use of the terms is not indefinite." On reflection, we agree with appellant. The fact that some claim language is not mathematically precise does not per se render the claim indefinite. Seattle Box. As set forth in Shatterproof Glass, "[i]f the claims, read in the light of the specifications, reasonably apprise those skilled in the art both of the utilization and scope of the invention, and if the language is as precise as the subject matter permits, the courts can demand no more." In our opinion, a person of ordinary skill in the art would have understood the enhancement of yield or yield stability and improved nutritional quality is relative to a plant lacking the single locus.

Accordingly we reverse the rejection of claim 30 under 35 U.S.C. § 112, second paragraph.

Written Description:

Claims 6, 11, 24, 25 and 27-31 stand rejected under 35 U.S.C. § 112, first paragraph, as the specification fails to adequately describe the claimed invention. For the following reasons, we reverse.

Claims 24-26<sup>12</sup>

Claims 24-26 ultimately depend from claim 23. On this record, the examiner has indicated that claim 23 is allowable. Answer, page 2. The examiner finds (Answer, page 16), claims 24-26 are drawn to a hybrid plant or seed "produced by crossing inbred corn plant I026458 with any second, distinct inbred corn plant."

As we understand it, based on this construction of claims 24-26, the examiner is of the opinion that since the hybrids inherit only  $\frac{1}{2}$  of their diploid<sup>13</sup> set of chromosomes from the plant of corn variety I026458, a person of skill in the art would not have viewed the teachings of the specification as sufficient to demonstrate that appellant was in possession of the genus of hybrid seeds and plants encompassed by claims 24-26. According to the examiner (Answer, page 22), "[t]he fact that any hybrid plant will inherit half of its alleles from I026458 then does not provide sufficient description of the morphological and physiological characteristics expressed by the claimed hybrid plants."

There is no doubt that the expressed gene products of a hybrid plant, e.g., the morphological and physiological traits, of I026458 and a non-I026458 corn plant will depend on the combination of the genetic material inherited from both parents. See Answer, page 23. Nevertheless, we disagree with the examiner's

---

<sup>12</sup> We recognize, as does the examiner (Answer, page 22) that appellant's reference to claims 22-26 (Brief, page 14) was intended to be a reference to claims 24 and 25.

<sup>13</sup> According to appellant's specification (page 21), diploid means "a cell or organism having two sets of chromosomes."

conclusion (id.) that “[t]he fact that any hybrid plant will inherit half of its alleles from I026458 then does not provide sufficient description of the morphological and physiological characteristics expressed by the claimed hybrid plants.”

On these facts, we find it necessary to take a step back and consider what is claimed. The claims are drawn to a F<sub>1</sub> hybrid seed (claim 24) or plant (claim 25) resulting from a cross between a plant of corn variety I026458 and a non-I026458 corn variety. The claims do not require the hybrid to express any particular morphological or physiological characteristic. Nor do the claims require that a particular non-I026458 corn variety be used.<sup>14</sup> All that is required by the claims is that the hybrid has one parent that is a plant of corn variety I026458. Since the examiner has indicated that the seed and the plant of the corn variety I026458 are allowable (see claims 1 and 5), there can be no doubt that the specification provides an adequate written description of this corn variety. In addition, the examiner appears to recognize (Answer, pages 24-25) that appellant’s specification describes an exemplary hybrid wherein one parent was a plant of the corn variety I026458, see e.g., specification, pages 53-57. Accordingly, it is unclear to this merits panel what additional description is necessary.

As set forth in Reiffin v. Microsoft Corp., 214 F.3d 1342, 1345, 54 USPQ2d 1915, 1917 (Fed. Cir. 2000), the purpose of the written description

---

<sup>14</sup> According to appellant (Brief, page 16), “hundreds or even thousands of different inbred corn lines were well known to those of skill in the art prior to the filing [date] of the instant application, each of which could be crossed to make a hybrid plant within the scope of the claims.”

requirement is to "ensure that the scope of the right to exclude, as set forth in the claims does not overreach the scope of the inventor's contribution to the field of art as described in the patent specification." Here the hybrid seed or plant has one parent that is a plant of the corn variety I026458. To that end, to satisfy the written description requirement, the inventor "must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention" [emphasis added]. Vas-Cath Inc. v. Mahurkar, 935 F.2d 1555, 1563-64, 19 USPQ2d 1111, 1117 (Fed. Cir. 1991). For the foregoing reasons it is our opinion that appellant has provided an adequate written description of the subject matter set forth in claims 24-26.<sup>15</sup>

We recognize the examiner's argument relating to SSR and isozyme markers (Answer, pages 28-33), as well as the examiner's arguments concerning a correlation between the hybrid's genome structure and the function of the hybrid plant (Answer, pages 24-27). However, for the foregoing reasons, we are not persuaded by these arguments.

#### Claims 6 and 11

Claims 6 and 11 depend ultimately upon claim 5. On this record, the examiner has indicated that claim 5 is allowable. Answer, page 2.

According to the examiner (Answer, page 17), while the specification provides the locus names and allele numbers of the SSR markers, the specification does not provide the actual nucleotide sequences that make up the

---

<sup>15</sup> Again, we note as set forth in n. 3. that claim 26 does not appear to further limit the scope of claim 25 from which it depends.

markers. According to the examiner (id.), "names of loci alone do not describe the structures of the markers themselves. Without a description of the sequences of the markers, one cannot confirm their presence." In response, appellant points out (Brief, page 13), "the SSR markers were from Celera AgGen, Inc., which provides a commercial service for genotyping of maize varieties." In other words, a person of ordinary skill in the art could use the commercially available service provided by Celera AgGen, Inc. to determine whether a corn plant produced by growing a seed of the corn variety I026458 has an SSR profile which is the same as that shown in Table 6. Therefore, it is unclear to this panel why the examiner believes that such a disclosure fails to provide adequate written descriptive support for the claimed invention.<sup>16</sup> Accordingly, we are not persuaded by the examiner's argument.

Regarding the isozyme typing profile, the examiner finds (Answer, page 17), "Table 7 provides names of loci where isozyme markers reside, for three different corn plants, and a numerical value that represents the numbers of alleles at isozyme loci types. The nucleotide sequences that make up these loci are not described." In response, appellant points out (Brief, page 13), the isozyme "markers are well known and isozyme analysis in general [is] very well known having been used for decades." In this regard, we remind the examiner

---

<sup>16</sup> We are not persuaded by the examiner's assertion (Answer, page 31) "that the [commercially available] service used to detect SSR markers is currently available is not a guarantee that it will remain so for the life of a patent issuing from the application." Cf. In re Metcalfe, 410 F.2d 1378, 1382, 161 USPQ 789, 792-3 (CCPA 1969).

that the inquiry into whether the description requirement is met must be determined on a case-by-case basis and is a question of fact. In re Wertheim, 541 F.2d 257, 262, 191 USPQ 90, 96 (CCPA 1976). A description as filed is presumed to be adequate; unless or until sufficient evidence or reasoning to the contrary has been presented by the examiner to rebut the presumption. See e.g., In re Marzocchi, 439 F.2d 220, 224, 169 USPQ 367, 370 (CCPA 1971).

The examiner, therefore, must have a reasonable basis to challenge the adequacy of the written description. Accordingly, it is the examiner who has the initial burden of establishing by a preponderance of evidence that a person skilled in the art would not recognize in an applicant's disclosure a description of the invention defined by the claims. Wertheim, 541 F.2d at 263, 191 USPQ at 97. On this record, the examiner provides no evidence to support the assertion that simply because appellant has not provided the sequences that make up the loci for particular isozymes, appellant's specification does not adequately describe the claimed invention. Accordingly, we are not persuaded by the examiner's argument.

The examiner finds (Answer, page 21), claims 6 and 11 require that the claimed plant or plant cell exhibit either the claimed SSR profile or the isozyme profile. According to the examiner (id.), "[t]he genome of the cells of the I026458 seed deposited with the ATCC has both the SSR profile and the isozyme typing profile shown in Tables 6 and 7 for that plant. No plant is described in the specification that has one genetic marker profile but not the other." The examiner's concern appears to be misplaced. To the extent that the examiner is



concerned that the claim is open to read on a plant other than a corn plant produced by growing a seed of the corn variety I026458, we remind the examiner that both claims 6 and 11 ultimately depend from claim 5<sup>17</sup>, which is drawn to "[a] corn plant produced by growing a seed of the corn variety I026458...."

It appears that the examiner may have read claims 6 and 11 as drawn to a corn plant or plant cell having only one of the recited profiles. However, as we understand claims 6 and 11, determining whether the claimed corn plant (claim 6) or plant cell (claim 11) has one of the profiles does not mean that the plant, or plant cell would not also exhibit the other profile.

In addition, we direct the examiner's attention to claims 6 and 11 of Appeal No. 2005-0396. As we understand it, notwithstanding differences in the SSR and isozyme profiles, the disclosure in the specification as well as the language of the claims is substantially similar to that of the instant application. Nevertheless, the examiner in Appeal No. 2005-0396 apparently found that appellant's specification provided an adequate written description of the claimed invention as no rejection of claims 6 and 11 was made under the written description provision of 35 U.S.C. § 112, first paragraph in Appeal No. 2005-0396. Accordingly, we find that the examiner has treated claims 6 and 11 in a manner that is inconsistent with the prosecution of similar claims in related application 10/077,589, which is the subject matter of Appeal No. 2005-0396.

---

<sup>17</sup> The examiner has indicated that claim 5 is allowable. Answer, page 2.

For the foregoing reasons, we are not persuaded by the examiner's arguments.

Claims 27-30

According to the examiner (Answer, page 18), "[c]laims 27-30 are drawn towards I026458 plants further comprising a single locus conversion, or wherein the single locus was stably inserted into a corn genome by transformation." The examiner finds, however, that "the specification does not describe identified or isolated single loci for all corn plant traits." Id. More specifically, the examiner finds (id.), claims 27-30 "broadly encompass single loci that have not been discovered or isolated." To the extent that the examiner is asserting that appellant has not provided an enabling disclosure of single loci that have not been identified, we note that to satisfy the written description requirement, the inventor "must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention" [emphasis added]. Vas-Cath.

Nevertheless, it may be that the examiner's concern (Answer, page 35), is that "single genes that alone govern 'yield enhancement' or 'enhanced yield stability' have not been discovered." In this regard, the examiner asserts (Answer, page 36), "the references cited in the specification do not describe isolated single genes or loci that confer yield enhancement or yield stability." Therefore, the examiner concludes (id.), "[a]ppellant cannot be in possession of plants further comprising single loci that have yet to be identified." The examiner, however, provides no evidence to support the assertion that a person

of ordinary skill in the art would not recognize that single loci for yield enhancement or yield stability are known in the art. In this regard, we note that appellant discloses (specification, page 31), "[m]any single locus traits have been identified ... examples of these traits include, but are not limited to, ... enhanced nutritional quality, industrial usage, yield stability, and yield enhancement." It appears that the examiner has overlooked appellant's assertion that single locus traits for yield stability and yield enhancement are well known in the art. To this end, we direct the examiner's attention to, for example, United States Patent No. 5,936,145 ('145)<sup>18</sup>, issued August 10, 1999, which is prior to the filing date of the instant application. For clarity, we reproduce claims 8, 29 and 39 of the '145 patent below:

8. A corn plant having all the physiological and morphological characteristics of corn plant 87DIA4, a sample of the seed of said corn plant having been deposited under ATCC Accession No. 203192.
29. The corn plant of claim 8, further comprising a single gene conversion.
39. The single gene conversion of the corn plant of claim 29, where the gene confers enhanced yield stability.

As we understand it, claim 39 of the '145 patent, is drawn to a corn plant which comprises a single gene conversion, wherein the gene confers enhanced yield stability. Thus, contrary to the examiner's assertion it appears, for example, that a single gene that confers enhanced yield stability was known in the art prior to the filing date of the instant application. We remind the examiner "a patent need

---

<sup>18</sup> We note that the assignee of the '145 patent is DeKalb Genetics Corporation. The assignee of the present application is Monsanto Company, the parent of wholly-owned subsidiary DeKalb Genetics Corporation.

not teach, and preferably omits, what is well known in the art." Hybritech Incorporated v. Monoclonal Antibodies, Inc. 802 F.2d 1367, 1385, 231 USPQ 81, 94 (Fed. Cir. 1986).

We remind the examiner that the inquiry into whether the description requirement is met must be determined on a case-by-case basis and is a question of fact. In re Wertheim, 541 F.2d 257, 262, 191 USPQ 90, 96 (CCPA 1976). A description as filed is presumed to be adequate; unless or until sufficient evidence or reasoning to the contrary has been presented by the examiner to rebut the presumption. See e.g., In re Marzocchi, 439 F.2d 220, 224, 169 USPQ 367, 370 (CCPA 1971). The examiner, therefore, must have a reasonable basis to challenge the adequacy of the written description. Accordingly, it is the examiner who has the initial burden of establishing by a preponderance of evidence that a person skilled in the art would not recognize in an applicant's disclosure a description of the invention defined by the claims. Wertheim, 541 F.2d at 263, 191 USPQ at 97. On this record, the examiner provides no evidence to support the assertion that single loci that govern, for example, yield enhancement or enhanced yield stability are not described.

For the foregoing reasons, we are not persuaded by the examiner's arguments.

### Claim 31

Claim 31 is drawn to a method of producing an inbred corn plant derived from the corn variety I026458. The claimed method begins by crossing a plant of the corn variety I026458 with any other corn plant. The method requires that the

progeny corn plant be crossed either to itself, or with any other corn plant, and that the progeny of this cross be further crossed to itself, or with another corn plant, and so on throughout several generations. As we understand it, claim 31, in its simplest form, is directed to a method of using a plant of the corn variety I026458 to produce an inbred corn plant.

Nevertheless, the examiner finds (Answer, page 19), "[a] review of the claim indicates that hybrid progeny of corn plant I026458 are required to perform further crosses, and that progeny of subsequent generations can be further outcrossed with different corn plants." Therefore, the examiner concludes (id.), "[t]he hybrid progeny of corn plant I026458, and progeny plants of subsequent generations, are essential to operate the claimed method." As we understand the examiner's argument, not only does appellant have to provide a written description of the starting corn plant (I026458), but appellant also must look into the future to determine every other potential corn plant that someone may wish to cross with the I026458 corn variety, and provide written descriptive support for not only every other corn plant that could be crossed with I026458, but also the resulting progeny of each cross.

As set forth in Reiffin, the purpose of the written description requirement is to "ensure that the scope of the right to exclude, as set forth in the claims does not overreach the scope of the inventor's contribution to the field of art as described in the patent specification." Here the method of producing an inbred corn plant requires a plant of the corn variety I026458 be used as the starting material. To that end, to satisfy the written description requirement, the inventor

"must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention" [emphasis added].

Vas-Cath. The examiner has indicated that a claim to a plant of the corn variety I026458 is allowable, see e.g., appellant's claim 5. Therefore, in our opinion, there can be no doubt that appellant was in possession of a plant of the corn variety I026458, in addition to a method of using that plant to cross with any other corn plant to produce an inbred corn plant as set forth in appellant's claim 31.

In our opinion, it matters not what the other corn plants are, or what the progeny of a cross between corn variety I026458 and some other corn plant represents. As the examiner explains (Answer, page 20), patentability of the method of claim 31 "does not lie in the method steps, which require the simple acts of crossing corn plants, allowing progeny seed to be produced, and growing progeny plants from the seed...." In our opinion, patentability of the method of claim 31 does not lie in the various other or second corn plants either. In our opinion, patentability of the method of claim 31 lies in the use of the corn variety I026458. Accordingly, for the foregoing reasons, it is our opinion that appellant has "convey[ed] with reasonable clarity to those skilled in the art that, as of the filing date sought, [they were] in possession of the invention," Vas-Cath (emphasis omitted).

### Summary

For the foregoing reasons, we reverse the rejection of claims 6, 11, 24, 25 and 27-31 under the written description provision of 35 U.S.C. § 112, first paragraph.

### Enablement:

Claims 27-30 stand rejected under the enablement provision of 35 U.S.C. § 112, first paragraph. The examiner finds (Answer, page 41), claims 27-30 "are broadly drawn towards inbred corn plant I026458 further defined as having a genome comprising any single locus conversion, encoding any trait; or wherein the single locus was stably inserted into a corn genome by transformation." The examiner presents several lines of argument under this heading. We take each in turn.

#### I. Retaining all the morphological and physiological traits of I026458:

According to the examiner (Answer, page 41, emphasis added), appellant's specification "does not teach any I026458 plants comprising a single locus conversion produced by backcrossing, wherein the resultant plant retains all of its morphological and physiological traits in addition to exhibiting the single trait conferred by the introduced single locus. With reference to Hunsperger, Kraft, and Eshed the examiner asserts (Answer, bridging sentence, pages 44-45), "[t]he rejection raises the issue of how linkage drag hampers the insertion of single genes alone into a plant by backcrossing, while recovering all of the original plant's genome."

We note, however, that claims 27-30 do not require that the single locus conversion plant retain all of the morphological and physiological traits of the parent plant in addition to exhibiting the single trait conferred by the introduction of the single loci. Nor do claims 27-30 require that the resultant plant retain all of the original plant's genome in addition to the single locus transferred into the inbred via the backcrossing technique. As appellant explains (specification, page 30, emphasis added),

[t]he term single locus converted plant as used herein refers to those corn plants which are developed by a plant breeding technique called backcrossing wherein essentially all of the desired morphological and physiological characteristics of an inbred are recovered in addition to the single locus transferred into the inbred via the backcrossing technique.

See also appellant's definition of single locus converted (conversion) plant at page 23 of the specification. We find nothing in the appellant's specification to indicate that the single locus converted plant retains all of the morphological and physiological traits, or all of the genome, of the parent plant in addition to the single locus transferred via the backcrossing technique. Accordingly, we disagree with the examiner's construction of claims 27-30 as "directed to exactly plant 1026458 further comprising the single locus," which appears to disregard appellant's definition of a single locus converted plant. See Answer, page 46, emphasis added.

The examiner appears to appreciate (Answer, page 46) that appellant's specification provides an example of a converted plant. See e.g., specification, pages 35-36. However, for the foregoing reasons, we are not persuaded by the



examiner's assertion (Answer, page 46) that the specification provides "no indication that all of the morphological and physiological traits of [this converted] ... corn plant were recovered, and that only one single locus was transferred from the donor plant." To the contrary, the examiner provides no evidence that the converted plant exemplified in appellant's specification did not retain essentially all of the desired morphological and physiological characteristics of the inbred in addition to the characteristics conferred by the single locus transferred into the inbred via the backcrossing technique.

Further, we recognize appellant's argument (Brief, page 29) that the examiner failed to establish a nexus between Hunsperger's discussion of petunias; Kraft's discussion of sugar beets; and Eshed's discussion of tomatoes, and the subject matter of the instant application - corn. Absent evidence to the contrary, we agree with appellant (id.), "[t]he [examiner's] indication<sup>19</sup> that the references concerning petunias, sugar beets and tomatoes apply to corn is made without any support." That the examiner has failed to identify (Answer, page 45) an example "in the prior art of plants in which linkage drag does not occur," does not mean that linkage drag is expected to occur in corn breeding, which according to appellant (Reply Brief, page 11) "is extremely advanced and well known in the art...." In this regard, we agree with appellant (Brief, page 30; Accord Reply Brief, page 11), the examiner has improperly placed the burden on appellant to demonstrate that the examiner's unsupported assertion is not true.

---

<sup>19</sup> See Answer page 45, wherein the examiner asserts "[l]inkage drag appears to be a phenomenon that occurs in all plant types."

We remind the examiner, as set forth in In re Wright, 999 F.2d 1557, 1561-62, 27 USPQ2d 1510, 1513 (Fed. Cir. 1993):

When rejecting a claim under the enablement requirement of section 112, the PTO bears an initial burden of setting forth a reasonable explanation as to why it believes that the scope of protection provided by that claim is not adequately enabled by the description of the invention provided in the specification of the application; this includes, of course, providing sufficient reasons for doubting any assertions in the specification as to the scope of enablement.

II. What plant is transformed in claim 28?

We recognize the examiner's assertion (Answer, page 43) that while claim 28 requires that a single locus be stably inserted into a corn genome by transformation, the claim does not indicate whether (1) the I026458 plant was transformed with the single locus, or (2) some other corn plant was transformed with the single locus and then introduced into I026458 by crossing. However, as appellant points out (Brief, page 11), claim 28 "specifies that the single locus was stably inserted into a corn genome. Loci that are stably inserted into a corn genome are also stably inherited. Thus the single locus need not have been inserted into the genome of corn variety I026458." Accordingly, the I026458 plant may be transformed with the single locus, or another plant may be transformed with the single locus and then introduced into I026458 by crossing.

It may be that the examiner is concerned that by transforming a non-I026458 plant with a single locus and then introducing this locus into I026458 by crossing would result in a plant that does not retain all of the morphological and

physiological traits, or all of the genome, of the I026458 plant. For the foregoing reasons, however, this line of reasoning is not persuasive.

III. The single locus to be introduced:

The examiner finds (Answer, page 43), "the claims do not place any limit on the single locus to be introduced" into I026458 plants. The examiner recognizes, however, that "[t]he prior art shows that hundreds of nucleotide sequences encoding products that confer various types of plant traits have been isolated at the time the instant invention was filed." Id. In addition, the examiner recognizes (id.), "[o]ne skilled in the art can transform any of these isolated nucleotide sequences known in the prior art into a corn plant cell, and regenerate a transgenic plant from the transformed cell."

Nevertheless, the examiner finds (Answer, bridging sentence, pages 43-44), "[u]ndue experimentation would be required by one skilled in the art to isolate single loci that govern the traits encompassed by the claims." In this regard, the examiner asserts (Answer, page 45) that the claims broadly encompass corn plants comprising any type of single loci, including those that have not yet been identified or isolated. To the extent that the examiner is asserting that appellant has not provided an enabling disclosure of single loci that have not been identified, we note that enablement under 35 U.S.C. § 112, first paragraph is evaluated as of appellant's filing date. As set forth in Chiron Corp. v. Genentech Inc., 363 F.3d 1247, 1254, 70 USPQ2d 1321, 1325-26 (Fed. Cir. 2004), "a patent document cannot enable technology that arises after the date of application. The law does not expect an applicant to disclose knowledge

invented or developed after the filing date. Such disclosure would be impossible.

See In re Hogan, 559 F.2d 595, 605-06 [194 USPQ 527] (CCPA 1977)."

The examiner's comment, however, may be directed to his assertion (Answer, page 43) that "isolated loci whose products confer yield enhancement or enhanced yield stability (recited in claim 30), are not known in the prior art." However, as discussed, supra, it appears that contrary to the examiner's assertion a single locus that confers the trait of, for example, yield enhancement was known in the art prior to the filing date of the instant invention. In addition, as discussed, supra, appellant's specification asserts that such traits were known in the art. See specification, page 31. Accordingly, as set forth in In re Marzocchi, 439 F.2d 220, 224, 169 USPQ 367, 370 (CCPA 1971), the burden is on

the Patent Office, whenever a rejection on this basis is made, to explain why it doubts the truth or accuracy of any statement in a supporting disclosure and to back up assertions of its own with acceptable evidence or reasoning which is inconsistent with the contested statement. Otherwise, there would be no need for the applicant to go to the trouble and expense of supporting his presumptively accurate disclosure.

On this record, we find only the examiner's unsupported conclusions as to why the specification does not enable the claimed invention. We remind the examiner that nothing more than objective enablement is required, and therefore it is irrelevant whether this teaching is provided through broad terminology or illustrative examples. Marzocchi, 439 F.2d at 223, 169 USPQ at 369. In the absence of an evidentiary basis to support the rejection, the examiner has not sustained his initial burden of establishing a prima facie case of non-enablement.

In this regard, we note that the burden of proof does not shift to appellant until the examiner first meets his burden. Marzocchi, 439 F.2d at 223-224, 169 USPQ at 369-370.

We also recognize the examiner's assertion (Answer, page 44) that claims 27-29 "encompass plants with single loci whose functions are unknown ... [or where] the effects of expression of the single locus on the traits expressed by I026458 are unknown." While this may be true, the examiner has not provided any evidence to suggest that it would require undue experimentation to obtain a single locus converted plant wherein essentially all of the desired morphological and physiological characteristics of an inbred are recovered in addition to the characteristics conferred by the single locus transferred into the inbred via the backcrossing technique. See specification, page 23.

While it is not expressly stated in the text of the examiner's rejection, it may be that the examiner is concerned that the claims include inoperative embodiments. If so, the examiner is directed to Atlas Powder Co. v. E.I. DuPont De Nemours & Co., 750 F.2d 1569, 1576-77, 224 USPQ 409, 414 (Fed. Cir. 1984):

Even if some of the claimed combinations were inoperative, the claims are not necessarily invalid. "It is not a function of the claims to specifically exclude ... possible inoperative substances...." In re Dinh-Nguyen, 492 F.2d 856, 859-59, 181 USPQ 46, 48 (CCPA 1974)(emphasis omitted). Accord, In re Geerdes, 491 F.2d 1260, 1265, 180 USPQ 789, 793 (CCPA 1974); In re Anderson, 471 F.2d 1237, 1242, 176 USPQ 331, 334-35 (CCPA 1971). Of course, if the number of inoperative combinations becomes significant, and in effect forces one of ordinary skill in the art to experiment unduly in order to practice the claimed invention, the claims might indeed be

invalid. See e.g., In re Cook, 439 F.2d 730, 735, 169 USPQ 298, 302 (CCPA 1971).

On this record, the examiner provides no evidence that the number of inoperative embodiments is so large that a person of ordinary skill in the art would have to experiment unduly to practice the claimed invention. To the contrary, the examiner recognizes (Answer, page 43) that "[t]he prior art shows that hundreds of nucleotide sequences encoding products that confer various types of plant traits have been isolated at the time the instant invention was filed"; and that "[o]ne skilled in the art can transform any of these isolated nucleotide sequences known in the prior art into a corn plant cell, and regenerate a transgenic plant from the transformed cell." Accordingly, we are not persuaded by the examiner's unsupported assertions.

For the foregoing reasons, we reverse the rejection of claims 27-30 under the enablement provision of 35 U.S.C. § 112, first paragraph.

SUMMARY

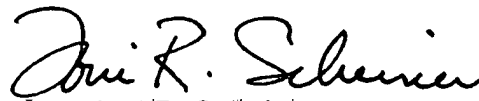
We reverse the rejection of claims 3, 6, 11, 14-20, and 27-30 under 35 U.S.C. § 112, second paragraph.

We reverse the rejection of claims 6, 11, 24, 25 and 27-31 under the written description provision of 35 U.S.C. § 112, first paragraph.

We reverse the rejection of claims 27-30 under the enablement provision of 35 U.S.C. § 112, first paragraph.

For the reasons set forth in n. 5 infra, we have not considered the merits of the rejection of claim 26 under the written description provision of 35 U.S.C. § 112, first paragraph.

REVERSED



Toni R. Scheiner  
Administrative Patent Judge



Donald E. Adams  
Administrative Patent Judge



Lora M. Green  
Administrative Patent Judge

)  
)  
)  
)  
) BOARD OF PATENT  
)  
) APPEALS AND  
) INTERFERENCES  
)  
)  
)  
)

Appeal No. 2004-2343  
Application No. 09/772,520

Page 37

Robert E. Hanson  
FULBRIGHT & JAWORSKI L.L.P.  
A REGISTERED LIMITED LIABILITY PARTNERSHIP  
600 CONGRESS AVENUE, SUITE 2400  
AUSTIN, TX 78701